

3M[™] LifeASSURE[™] BNA045 and BNA65 Series Filter Cartridges

For Food and Beverage Applications

Technical Support Guide

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Introduction

This technical support guide provides information pertinent to 3M™ LifeASSURE™ BNA Series 0.45 and 0.65 micron rated membrane filter cartridges. It contains information that supports the efficacy, performance and safety of 3M LifeASSURE BNA045 and BNA065 Series Filter Cartridges in Food and Beverage applications.

This technical support guide has been prepared specifically for manufacturers requiring product documentation as part of their process qualification. It includes the following test data to support published performance claims:

Product Quality Assurance Information and Product Release Testing

- Materials of Construction
- Dimension Chart (heights and diameters)
- Surface Area Chart
- Part Number Overview
- Maximum Allowable Forward Differential Pressure
- Maximum Allowable Reverse Differential Pressure
- Wetting Procedure
- Integrity Test Values
- Water Flow Rate Chart

Product Performance Test Results

- Non-fiber Shedding Data
- Bacteria Retention
 - Basis of Tests (why we conduct them)
 - Test Methods
 - Results
- Product Robustness Testing
 - Hot Water
 - Steam
 - Oxonia Active®
 - Sodium Hydroxide

Safety and Regulatory Support

- ISO 9001:2015 Certification
- Material Safety Data Sheet
- Food Contact Compliance
- USP Biological Test for Plastics Class VI and Elution Test Results

Further technical data and product information can be found in "3M LifeASSURE BNA Series Filter Cartridges for Beverage Micobiological Stability".

Further information may be obtained by contacting: 3M Application Engineering at: (203) 237-5541.

Product Quality Assurance Information and Product Release Testing

- A. Lot Number Identification and Traceability Each 3M™ LifeASSURE™ BNA045 and BNA065 Series Filter Cartridge is engraved with a unique and traceable lot number identification. This lot number allows for easy retrieval of all Quality Control data related to the production of this filter.
- B. All 3M LifeASSURE BNA045 and BNA065 Series Filter Cartridges are tested for integrity prior to shipment.
- C. Audit tests, including Water Flow Rate and Bacterial Retention are performed on a routine basis.

Product Specifications

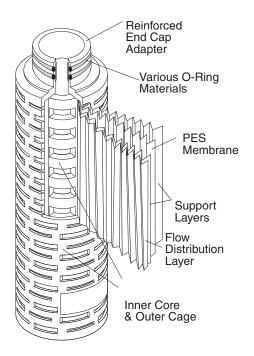
Materials of Construction

3M LifeASSURE BNA Series Filter Cartridges are constructed of single-layer, highly-asymmetric polyethersulfone membrane pleated with polypropylene upstream and downstream support materials. The inner core, outer cage and end cap reinforced adapters are made of polypropylene. No resin or binder compounds are added. Multiple length filter cartridges with industry standard connection styles are produced to fit the most widely used housing designs.

All materials used in manufacturing are traceable. All materials of construction have been tested and passed USP Class VI Biological Tests for Plastics at 121°C.

3M LifeASSURE BNA Series Filter Cartridges are manufactured under an ISO certified quality system using the most advanced thermoplastic welding techniques to ensure filter integrity.

3M LifeASSURE BNA Series Filter Cartridges are 100% integrity tested after manufacture to ensure quality and are supplied with a Certificate of Quality. The filter cartridge grade, lot number and serial number are thermally etched into the cage assembly of the filter cartridge.



| Materials of Construction | |
|----------------------------------|---|
| Membrane | Polyethersulfone |
| Support Layers | Polypropylene |
| Inner Core, Outer Cage, End Caps | Polypropylene |
| Reinforced Adapters | Polypropylene with Polysulfone Reinforcing Ring |
| O-rings | Various |

| Nominal Filter Cartridge Dimensions | | | | | | |
|---|--------------------|-------------------|--------------------|------------------|--|--|
| Filter Cartridge Diameter | 2.75" (70 mm) | 2.75" (70 mm) | 2.75" (70 mm) | 2.75" (70 mm) | | |
| Filter Cartridge Lengths | 10" (254 mm) | 20" (508 mm) | 30" (762 mm) | 40" (1016 mm) | | |
| Nominal Effective Filtration Area (EFA) | 8.5 ft.² (0.79 m²) | 17 ft.² (1.58 m²) | 25.5 ft.² (2.37m²) | 34 ft.² (3.16m²) | | |

3M™ LifeASSURE™ BNA Series Filter Cartridge Part Number Description

| Cartridge | Rating (µm) | Configuration | Length (Inches) | End-modification | O-Ring/Gasket Material |
|-------------------------------|--|----------------------|--|---|---|
| 3M™ LifeASSURE™ BNA Series | 045 – 0.45 065 – 0.65 | F – APT Pleat | 01 - 10 02 - 20 03 - 30 04 - 40 | B – 226 O-rings, Bayonet Lock & Spear C – 222 O-ring & Spear F – 222 O-ring & Flat Cap J – 226 O-rings, Bayonet Lock & Flat Cap T – 222 with Spear (Sartorius code 28, not available with support ring) | A – Silicone B – Fluorocarbon C – EPR D – Nitrile |

| Operating Parameters | |
|---|--|
| Recommended Flow Rate (10" Element) | Beer: 1–2 gpm (3.8–7.6 lpm) Wine: 2–3 gpm (7.6–11.4 lpm) Maximum: 9 gpm per psid (34 lpm per 68.9 mbar) |
| Max. Differential Pressure (Forward) | 80 psid @ 77°F (5.5 bar @ 25°C) |
| Max. Differential Pressure (Reverse) | 35 psid @ 194°F (2.4 bar @ 90°C) |
| Max. Hot Water Sanitation Temperature | 50 psid @ 77°F (3.44 bar @ 25°C) |
| Max. Steam Sterilization Temperature | 194°F (90°C) – 150, 30-minute cycles |
| NaOH Cleaning Duration (Conc. 1M @ 65°C) | 100 hours |
| Peracetic Acid Sanitation (Conc. 1% @ 21°C) | 100 hours |

Wetting Procedures for Filter Cartridge Integrity Testing

Any of the following three methods may be used to wet the filter cartridges for the integrity test:

- 1. With the housing vent valve open and the downstream valve slightly opened or completely closed, fill the housing with water. When water begins to exit through the housing vent valve, close the vent valve, close the downstream valve and stop the flow of water into the housing. Allow the water to remain in the housing for approximately 5 minutes to statically wet the filter cartridges. Open the vent valve and the housing drain valve or the downstream valve and drain the housing. Perform the required integrity test.
- 2. With the housing vent valve open and the downstream valve slightly opened or completely closed, fill the housing with water. When water begins to exit through the housing vent valve, close the vent valve and open the downstream valve. Flow water through the housing for approximately 5 minutes at 3 gpm per 10 inch filter cartridge with no downstream back pressure.
- 3. With the housing vent valve open and the downstream valve completely closed, fill the housing with water. When water begins to exit through the housing vent valve, close the vent valve. Initiate water flow into the housing at minimum of 50 psi supply pressure. Let the water continue to flow into the housing and leave the housing under these pressure conditions for 5 minutes. After 5 minutes, slowly open the downstream valve and allow water to flow through the housing for approximately 2 minutes at a minimum water flow rate of 0.5 lpm per 10 inch filter cartridge.

Integrity Test Values

3M™ LifeASSURE™ BNA Series Filter Cartridges can be integrity tested by the user by one of three methods: the Forward Flow Integrity Test (FFIT), the Bubble Point Test (BPT), or the Pressure Hold Test (PHT).

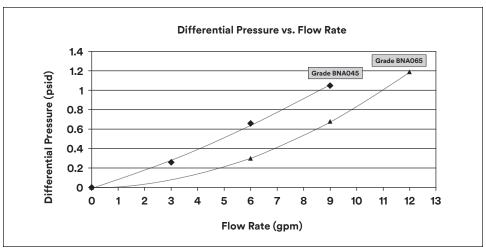
| Grade ID | FFIT Pressure | FFIT Limits | Minimum Bubble Point | Pressure Hold Limits |
|------------------------|------------------|-------------------|----------------------|------------------------------|
| 3M™ LifeASSURE™ BNA045 | 22 psi / 1.5 bar | <35 cc/min @ 25°C | >24 psi / 1.76 bar | Consult 3M Purification Inc. |
| 3M™ LifeASSURE™ BNA065 | 15 psi / 1.0 bar | <25 cc/min @ 25°C | >17 psi / 1.2 bar | Consult 3M Purification Inc. |

Please consult with 3M for detailed instructions on performing the integrity tests.

The Pressure Hold Test requires calculation of the housing and system volume upstream of the filter cartridge assembly. Consult with 3M for assistance in calculating this volume and the correct Pressure Hold Test values for your system.

Water Flow Rate vs. Differential Pressure





Product Performance Test Results

Non-fiber Shedding Data

Particulate matter in effluent samples from 10 inch filter cartridges was evaluated. Sample filter cartridges from three (3) lots were flushed with 0.2 micron filtered water. Effluent water samples were collected and evaluated for total particulate matter by vacuum filtration through a 47-mm gridded analysis membrane. Each analysis membrane was examined microscopically at 125X magnification. A total of 25 out of 160 grids were examined and total particles and fibers were counted. Results are reported in particles >10 micron and >25 micron per mL of effluent water sample.

3M™ LifeASSURE™ BNA045 and BNA065 Series Filter Cartridges — Non-fiber Shedding Data

| Cartridge # | Particles/mL >10 micron | Particles/mL >25 micron |
|-------------|-------------------------|-------------------------|
| 05N033-0046 | <1 | <1 |
| 05N033-0047 | <1 | <1 |
| 05N037-0043 | <1 | <1 |
| 05N037-0051 | <1 | <1 |

Results: The results for the analysis of effluent samples for the level of particulate matter are consistent with a non-fiber releasing filter cartridge. The results indicate that the level of particulate matter found in the effluent samples were less than the USP specification for LVP acceptable particulate matter of <12 particles/fibers per mL for particles/fibers >10 micron and <2 particles/fibers per mL for particles/fibers >25 micron.

For a filter cartridge to be considered as "Non-Fiber Shedding" it must meet the requirements of 21 CFR 211.72 and 210.3 (b) (6).

Bacteria Retention

Basis of Tests

The primary purpose of a membrane filter installed in a food or beverage process is to effectively control spoilage microorganisms. Microorganisms specific to the food and beverage industry were selected to demonstrate the retention capabilities of 3M™ LifeASSURE™ BNA Series Filter Cartridges.

Oenococcus oeni Retention

3M™ LifeASSURE™ BNA Series 10 inch filter cartridges rated 0.45 µm from three different production lots were flushed with water at 3 gpm for 5 minutes with a 5 psi backpressure and forward flow integrity tested. The filter cartridges were then challenged with a suspension of *Oenococcus oeni* in white wine at a concentration of 1 × 10⁷ CFU/mL. The filtrate from each filter cartridge was assayed to determine the log reduction ratio value (LRV) for each filter cartridge. Postchallenge, the filter cartridges were flushed with deionized water and forward flow integrity tested to confirm they were still integral after the bacteria challenge.

3M™ LifeASSURE™ BNA045 Series Filter Cartridges — Oenococcus oeni Retention

| Cartridge # | Forward Flow Integrity @ 22 psi | LRV |
|-------------|---------------------------------|-------|
| 05N020-0005 | Pass | 10.4 |
| 05N020-0009 | Pass | 9.0 |
| 05N020-0033 | Pass | >11.9 |
| 05N024-0003 | Pass | 6.7 |
| 05N024-0016 | Pass | 7.9 |
| 05N024-0044 | Pass | 7.9 |
| 05N033-0013 | Pass | 9.5 |
| 05N033-0019 | Pass | 10.2 |
| 05N033-0024 | Pass | 9.3 |

Results: The tested 3M LifeASSURE BNA045 Series 0.45 micron rated filter cartridges retained *Oenococcus oeni* at an average LRV of 9 when challenged with an average challenge level of 7.2×10^7 CFU/cm².

Serratia marcesens Retention

3M LifeASSURE BNA Series 10 inch filter cartridges rated 0.45 µm from three different production lots were flushed with water at 3 gpm for 3 minutes and forward flow integrity tested. The filter cartridges were then challenged with a suspension of Serratia marcescens in sterile deionized water at a concentration of 1 × 10⁷ CFU/mL. The filtrate from each filter cartridge was assayed to determine the log reduction ratio value (LRV) for each filter cartridge. Post-challenge, the filter cartridges were flushed with deionized water and forward flow integrity tested to confirm they were still integral after the bacteria challenge.

3M™ LifeASSURE™ BNA045 Series Filter Cartridges — Serratia marcesens Retention

| Cartridge # | Forward Flow Integrity @ 22 psi | LRV |
|-------------|---------------------------------|-------|
| 05N020-0019 | Pass | 8.4 |
| 05N020-0021 | Pass | 7.0 |
| 05N020-0030 | Pass | 8.9 |
| 05N024-0006 | Pass | 8.9 |
| 05N024-0010 | Pass | 8.3 |
| 05N024-0050 | Pass | 8.7 |
| 05N033-0006 | Pass | >10.9 |
| 05N033-0015 | Pass | >10.9 |
| 05N033-0020 | Pass | >10.8 |

Results: The LifeASSURE BNA045 Series 0.45 micron rated filter cartridges retained Serratia marcescens at an average LRV of 8 when challenged with an average challenge level of 7.5×10^6 CFU/cm².

Lactobacillus brevis Retention

3M™ LifeASSURE™ BNA Series 10 inch filter cartridges rated 0.45 micron and 0.65 micron from three different production lots were flushed with water at 3 gpm for 3 minutes with a 5 psi backpressure and forward flow integrity tested. The filter cartridges were then challenged with a suspension of *Lactobacillus brevis* in degassed beer at a concentration of 1 × 10⁷ CFU/mL. The filtrate from each filter cartridge was assayed to determine the log reduction ratio value (LRV) for each filter cartridge. Post-challenge, the filter cartridges were flushed with deionized water and forward flow integrity tested to confirm they were still integral after the bacteria challenge.

3M™ LifeASSURE™ BNA045 Series Filter Cartridges — Lactobacillus brevis Retention

| Cartridge # | Forward Flow Integrity @ 22 psi | LRV |
|-------------|---------------------------------|-------|
| 05N020-0001 | Pass | 10.4 |
| 05N020-0014 | Pass | 10.0 |
| 05N020-0027 | Pass | 8.1 |
| 05N024-0001 | Pass | 10.2 |
| 05N024-0008 | Pass | 10.4 |
| 05N024-0024 | Pass | 8.0 |
| 05N033-0005 | Pass | >11 |
| 05N033-0018 | Pass | >11.2 |
| 05N033-0023 | Pass | >11.1 |

Results: The tested 3M LifeASSURE BNA045 Series 0.45 micon rated filter cartridges retained Lactobacillus brevis at an average LRV of 10 when challenged with an average challenge level of 4.9 × 10⁶ CFU/cm².

3M™ LifeASSURE™ BNA065 Series Filter Cartridges — Lactobacillus brevis Retention

| Cartridge # | Forward Flow Integrity @ 15 psi | LRV |
|-------------|---------------------------------|-------|
| 05N016-0011 | Pass | 8.6 |
| 05N016-0014 | Pass | 10.4 |
| 05N016-0019 | Pass | 7.5 |
| 05N023-0002 | Pass | 7.6 |
| 05N023-0009 | Pass | 7.8 |
| 05N023-0022 | Pass | 8.1 |
| 05N037-0013 | Pass | >11.1 |
| 05N037-0017 | Pass | >10.7 |
| 05N037-0044 | Pass | >11 |

Results: The tested 3M LifeASSURE BNA065 Series 0.65 micron rated filter cartridges retained Lactobacillus brevis at an average LRV of 7 when challenged with an average challenge level of 3.9×10^6 CFU/cm².

Dekkera intermedia Retention

3M™ LifeASSURE™ BNA Series 10 inch filter cartridges rated 0.45 micron and 0.65 micron from three different production lots were flushed with water at 3 gpm for 5 minutes and forward flow integrity tested. The filter cartridges were then challenged with a suspension of *Dekkera intermedia* in dilute acetic acid solution at pH 4.0 at a concentration of 1 × 10⁶ CFU/mL. The filtrate from each filter cartridge was assayed to determine the log reduction ratio value (LRV) for each filter cartridge. Post-challenge, the filter cartridges were flushed with deionized water and forward flow integrity tested to confirm they were still integral after the bacteria challenge.

3M™ LifeASSURE™ BNA045 Series Filter Cartridges — Dekkera intermedia Retention

| Cartridge # | Forward Flow Integrity @ 22 psi | LRV |
|-------------|---------------------------------|-------|
| 05N020-0003 | Pass | >10.2 |
| 05N020-0017 | Pass | >10.5 |
| 05N020-0032 | Pass | >10.4 |
| 05N024-0020 | Pass | >9.8 |
| 05N024-0042 | Pass | 7.0 |
| 05N024-0051 | Pass | 10.1 |
| 05N033-0012 | Pass | 9.0 |
| 05N033-0021 | Pass | >10.1 |
| 05N033-0045 | Pass | 9.4 |

Results: The tested 3M LifeASSURE BNA045 Series 0.45 micron rated filter cartridges retained *Dekkera intermedia* at an average LRV of 9 when challenged with an average challenge level of 1.5×10^6 CFU/cm².

3M[™] LifeASSURE BNA065 Series Filter Cartridges — Dekkera intermedia Retention

| Cartridge # | Forward Flow Integrity @ 15 psi | LRV |
|-------------|---------------------------------|-------|
| 05N016-0025 | Pass | 8.4 |
| 05N016-0031 | Pass | 10.0 |
| 05N016-0038 | Pass | N/A |
| 05N023-0006 | Pass | >10.0 |
| 05N023-0015 | Pass | 9.2 |
| 05N023-0019 | Pass | 8.9 |
| 05N037-0020 | Pass | >10.1 |
| 05N037-0025 | Pass | >10.1 |
| 05N037-0027 | Pass | >10.1 |

Results: The tested 3M LifeASSURE BNA065 Series 0.65 micron rated filter cartridges retained *Dekkera intermedia* at an average LRV of 9 when challenged with an average challenge level of 1.0 × 10⁶ CFU/cm².

Product Robustness Testing

Exposure to Hot Water and Steam

In order to evaluate the effects of thermal stress, 3M™ LifeASSURE™ BNA Series 10 inch filter cartridges from different production lots and were subjected to repetitive Hot Water (90°C) and *in-situ* steam cycles at 131°C. The hot water and *in-situ* steam cycles were 30 minutes each with cooling between cycles. Test filter cartridges were evaluated for integrity by Forward Flow Integrity Testing (FFIT) at a test pressure of 22 psi or 15 psi for 0.45 micron rated and 0.65 micron rated, respectively, initially and at various intervals following the thermal cycles.

Hot Water (90°C) Sanitation Cycles

3M™ LifeASSURE™ BNA045 Series Filter Cartridges

| Cartridge # | Cycle 50 | Cycle 100 | Cycle 150 |
|-------------|----------|-----------|-----------|
| 05N020-0007 | Pass | Pass | Pass |
| 05N020-0020 | Pass | Pass | Pass |
| 05N020-0029 | Pass | Pass | Pass |
| 05N024-0005 | Pass | Pass | Pass |
| 05N024-0012 | Pass | Pass | Pass |
| 05N024-0019 | Pass | Pass | Pass |
| 05N033-0001 | Pass | Pass | Pass |
| 05N033-0009 | Pass | Pass | Pass |
| 05N033-0027 | Pass | Pass | Pass |

Results: The results show that the 3M LifeASSURE BNA045 Series Filter Cartridges tested withstood up to 150, 30 minute hot water (90 °C) cycles.

3M™ LifeASSURE™ BNA065 Series Filter Cartridges (FFIT values at a test pressure of 40 psig at 25°C)

| Cartridge # | Cycle 50 | Cycle 100 | Cycle 150 |
|-------------|----------|-----------|-----------|
| 05N016-0004 | Pass | Pass | Pass |
| 05N016-0015 | Pass | Pass | Pass |
| 05N016-0041 | Pass | Pass | Pass |
| 05N023-0004 | Pass | Pass | Pass |
| 05N023-0013 | Pass | Pass | Pass |
| 05N023-0020 | Pass | Pass | Pass |
| 05N037-0006 | Pass | Pass | Pass |
| 05N037-0019 | Pass | Pass | Pass |
| 05N037-0034 | Pass | Pass | Pass |

Results: The results show that the 3M LifeASSURE BNA065 Series Filter Cartridges tested withstood up to 150, 30 minute hot water (90 $^{\circ}$ C) cycles.

In-situ Steam Sterilization Cycles

3M™ LifeASSURE™ BNA045 Series Filter Cartridges

| Cartridge # | Cycle 75 | Cycle 100 | Cycle 150 |
|-------------|----------|-----------|-----------|
| 05N020-0002 | Pass | Fail | N/A |
| 05N020-0015 | Pass | Pass | Pass |
| 05N020-0025 | Pass | Pass | Pass |
| 05N024-0021 | Pass | Pass | Pass |
| 05N024-0025 | Pass | Pass | Pass |
| 05N024-0045 | Pass | Pass | Pass |
| 05N033-0003 | Pass | Pass | Pass |
| 05N033-0017 | Pass | Pass | Pass |
| 05N033-0025 | Pass | Pass | Pass |

Results: The results indicate that the tested 3M[™] LifeASSURE[™] BNA045 Series Filter Cartridges tested withstood up to 75, 30 minute *in-situ* steam sterilization cycles at 135°C.

3M™ LifeASSURE™ BNA065 Series Filter Cartridges

| Cartridge# | Cycle 75 | Cycle 100 | Cycle 150 |
|-------------|----------|-----------|-----------|
| 05N016-0001 | Pass | Pass | Pass |
| 05N016-0008 | Pass | Pass | Pass |
| 05N016-0034 | Pass | Pass | Pass |
| 05N023-0003 | Pass | Pass | Pass |
| 05N023-0012 | Pass | Pass | Pass |
| 05N023-0018 | Pass | Pass | Pass |
| 05N037-0011 | Pass | Pass | Pass |
| 05N037-0029 | Pass | Pass | Pass |
| 05N037-0050 | Pass | Pass | Pass |

Results: The results indicate that the tested 3M LifeASSURE BNA065 Series Filter Cartridges tested withstood up to 75, 30 minute *in-situ* steam sterilization cycles at 135°C.

Sanitation Agent Compatibility — Oxonia Active®

In order to evaluate the compatibility of 3M™ LifeASSURE™ BNA Series Filter Cartridges with peracetic acid/hydrogen peroxide sanitation agents typically used in the Food and Beverage industry, 3M LifeASSURE BNA Series 10 inch filter cartridges from different production lots and were subjected to repetitive exposures to a 1% aqueous solution of Oxonia Active® at ambient temperature. Initially, and prior to each exposure interval, the filter cartridges were Forward Flow Integrity Tested at a test pressure of 22 psi or 16 psi for 0.45 micron rated and 0.65 micron rated, respectively. After each exposure interval, the filter cartridges were Forward Flow Integrity tested to confirm the filter cartridges remained integral after exposure to the Oxonia Active® solution. Following the Forward Flow Integrity Test, each filter cartridge was forward flow bubble point tested to release as much water as possible from the pores of the membrane and then exposed to a fresh 1% aqueous Oxonia Active solution for the next exposure interval.

3M™ LifeASSURE™ BNA045 Series Filter Cartridges — Cumulative Exposure to Oxonia Active® Solution

| Cartridge # | Cycle 50 | Cycle 100 | Cycle 150 |
|-------------|----------|-----------|-----------|
| 05N024-0004 | Pass | Pass | Pass |
| 05N024-0023 | Pass | Pass | Pass |
| 05N033-0016 | Pass | Pass | Pass |
| 05N033-0022 | Pass | Pass | Pass |

Results: The results indicate that the 3M LifeASSURE BNA045 Series Filter Cartridges exposed were compatible with 1% Oxonia Active® up to a total of 150 cumulative hours.

3M™ LifeASSURE™ BNA065 Series Filter Cartridges — Cumulative Exposure to Oxonia Active® Solution

| Cartridge # | Cycle 50 | Cycle 100 | Cycle 150 |
|-------------|----------|-----------|-----------|
| 05N023-0023 | Pass | Pass | Pass |
| 05N023-0026 | Pass | Pass | Pass |
| 05N037-0007 | Pass | Pass | Pass |
| 05N037-0021 | Pass | Pass | Pass |

Results: The results indicate that the 3M LifeASSURE BNA065 Series Filter Cartridges exposed were compatible with 1% Oxonia Active® up to a total of 150 cumulative hours.

Cleaning Agent Compatibility — Sodium Hydroxide

In order to evaluate the compatibility of 3M™ LifeASSURE™ BNA Series Filter Cartridges with caustic cleaning solutions, 3M LifeASSURE BNA Series 10 inch filter cartridges from different production lots and were subjected to repetitive exposures to 1 M aqueous sodium hydroxide (NaOH) solution at 65°C. Initially, and prior to each exposure interval, the filter cartridges were Forward Flow Integrity Tested at a test pressure of 22 psi or 15 psi for 0.45 micron rated and 0.65 micron rated, respectively. After each exposure interval, the filter cartridges were Forward Flow Integrity tested to confirm the filter cartridges remained integral after exposure to the 1 M NaOH solution. Following the Forward Flow Integrity Test, each filter cartridge was forward flow bubble point tested to release as much water as possible from the pores of the membrane and then exposed to a fresh 1 M NaOH solution for the next exposure interval.

3M™ LifeASSURE™ BNA045 Series Filter Cartridges — Cumulative Exposure to 1 M NaOH at 65°C

| Cartridge # | Cycle 50 | Cycle 100 | Cycle 150 |
|-------------|----------|-----------|-----------|
| 05N020-0012 | Pass | Pass | Pass |
| 05N020-0023 | Pass | Pass | Pass |
| 05N020-0028 | Pass | Pass | Fail |
| 05N024-0002 | Pass | Pass | Pass |
| 05N024-0009 | Pass | Pass | Pass |
| 05N024-0049 | Pass | Pass | Pass |
| 05N033-0002 | Pass | Pass | Pass |
| 05N033-0010 | Pass | Pass | Pass |
| 05N033-0026 | Pass | Pass | Pass |

Results: The results indicate that the 3M LifeASSURE BNA045 series filter cartridges exposed were compatible 1 M NaOH up to a total of 100 cumulative hours.

3M™ LifeASSURE™ BNA065 Series Filter Cartridges — Cumulative Exposure to 1 M NaOH at 65°C

| Cartridge # | Cycle 50 | Cycle 100 | Cycle 150 |
|-------------|----------|-----------|-----------|
| 05N016-0005 | Pass | Pass | Pass |
| 05N016-0033 | Pass | Pass | Pass |
| 05N016-0043 | Pass | Pass | Fail |
| 05N023-0007 | Pass | Pass | Pass |
| 05N023-0016 | Pass | Pass | Pass |
| 05N023-0024 | Pass | Pass | Pass |
| 05N037-0005 | Pass | Pass | Pass |
| 05N037-0023 | Pass | Pass | Pass |
| 05N037-0041 | Pass | Pass | Pass |

Results: The results indicate that the 3M LifeASSURE BNA065 series filter cartridges exposed were compatible 1 M NaOH up to a total of 100 cumulative hours.

Safety and Regulatory Support

In addition to product performance test results, the following safety and regulatory support information is provided:

- ISO 9001:2015 Certification
- Material Safety Data Sheet
- Food Contact Compliance Information
- USP Biological Test for Plastics Class VI and Elution Test Results

CERTIFICATE



TUV Rheinland of North America, Inc.

295 Foster Street, Suite 100, Littleton, MA 01460

Hereby certifies that

3M Purification, Inc.

| 400 Research Parkway Meriden, CT | 32 River Road Stafford Springs, CT | 3M Separation and Purification Sciences Division, 3M Center |
|-------------------------------------|---------------------------------------|---|
| | | St Paul, MN |

Sales, Human Resources, Customer Service, Quality, Laboratory, Manufacturing, Maintenance, Shipping & Receiving, Purchasing, Warehouse

has established and maintains a quality management system for the

Design, Development and Manufacture of Products for Filtration, Ultrafiltration and Other Media Platforms for the Potable Water, Industrial Processing, and Food and Beverage Applications

An audit was performed and documented in Report No 9519. Proof has been furnished that the requirements according to

ISO 9001:2015

are fulfilled.

Further clarification regarding the scope of this certificate and the applicability of ISO 9001:2015 requirements may be obtained by contacting TRNA.

Certificate Registration No.

74 300 9519

Certificate Issue Date **August 10, 2017**



Certificate Expiration Date **August 09, 2020**

Reissue Date: 8/15/2018

Certification of Management Systems

Material Safety Data Sheet

Copyright, 2011, 3M Company. All rights reserved. Copying and/or downloading of this information for the purpose of properly utilizing 3M PI products is allowed provided that: (1) the information is copied in full with no changes unless prior written agreement is obtained from 3M Purification Inc. ("3M PI"), and that (2) neither the copy nor the original is resold or otherwise distributed with the intention of earning a profit thereon.

This material safety data sheet (MSDS) is provided as a courtesy in response to a customer request. This product is not regulated under, and a MSDS is not required for this product by the OSHA Hazard Communication Standard (29 CFR 1910.1200) because, when used as recommended or under ordinary conditions, it should not present a health and safety hazard. However, use or processing of the product not in accordance with the product's recommendations or not under ordinary conditions may affect the performance of the product and may present potential health and safety hazards.

Section 1: Chemical Product and Company Identification

Product Name: 3M™ LifeASSURE™ Series Filter Cartridges

Date: April 2011

Company: 3M Purification Inc.

Address: 400 Research Parkway, Meriden, CT 06450-1018, USA

Product Specific Use: For chemical emergency, spill, leak, fire, exposure or accident.

Emergency Phone: 1-800-364-3577 or (651) 737-6501 (24 hours)

For non-emergency information, call (203)-238-8965

Section 2: Composition/Information on Ingredients

Ingredient: CAS No., % by Wt.

Section 3: Hazards Identification

Odor, Color, Grade: Refer to Section 9

General Physical Form: Solid

Immediate health, physical, and environmental hazards: This product, when used under reasonable conditions and in accordance with the 3M Purification directions for use, should not present a health hazard. However, use or processing of the product in a manner not in accordance with the product's directions for use may affect the performance of the product and may present potential health and safety hazards.

Potential Health Effects

Eye Contact: No health effects are expected Skin Contact: No health effects are expected Inhalation: No health effects are expected Ingestion: No health effects are expected

Section 4: First Aid Measures

Potential Environmential Effects

Eye Contact: No need for first aid is anticipated
Skin Contact: No need for first aid is anticipated
Inhalation: No need for first aid is anticipated
If Swallowed: No need for first aid is anticipated

Section 5: Fire-Fighting Measures

Flash Point: N/A

Extinguishing Media: Use fire extinguishers with class B extinguishing agents (e.g., dry chemical, carbon dioxide)

Unusual Fire and Explosion Hazards: No unusual fire or explosion hazards are anticipated

Fire Fighting Equipment: Wear full protective equipment (Bunker Gear) and a Self-Contained Breathing Apparatus (SCBA)

Section 6: Accidental Release Measures

Not applicable.

Section 7: Handling and Storage

Handling: Keep out of the reach of children. This product is considered to be an article which does not release or otherwise result in exposure to a hazardous chemical under normal use conditions. Use general dilution ventilation and/or local exhaust ventilation to control airborne exposures to below Occupational Exposure Limits. If ventilation is not adequate, use respiratory protection equipment.

Storage: Not applicable

Section 8: Exposure Controls/Personal Protection

Eye Protection: Not applicable **Skin Protection:** Not applicable

Respiratory Protection: Under normal use conditions, airborne exposures are not expected to be significant enough to require

respiratory protection

Prevention of Swallowing: Not applicable

Section 9: Physical and Chemical Properties

Odor, Color, Grade: Appearance and Odor: Filter cartridges are cylindrical, white and solid. Filter housings are also white and constructed with high impact plastic. These products are odorless.

General Physical Form: Solid

Autoignition Temperature: Not Applicable

Flash Point: Not Applicable

Flammable Limits (LEL): Not Applicable Flammable Limits (UEL): Not Applicable

Boiling Point: Not Applicable **Density:** Not Applicable

Vapor Density: Not Applicable Vapor Pressure: Not Applicable Specific Gravity: Not Applicable

pH: Not Applicable

Melting Point: Not Applicable

Solubility In Water: Nil

Evaporation Rate: Not Applicable **Percent Volatile:** Not Applicable

Viscosity: Not Applicable

Section 10: Stability and Reactivity

Stability:

Materials to Avoid:

Hazardous Decomposition Products:

Hazardous Polymerization: Will not occur

Hazardous Decomposition or By-products:

Hazardous Decomposition: Under recommended usage conditions, hazardous decomposition products are not expected. Hazardous decomposition products may occur as a result of oxidation, heating, or reaction with another material.

Section 11: Toxicological Information

Please contact the address listed on the first page of the MSDS for Toxicological Information on this material and/or its components.

Ecotoxicological Information: Not applicable **Chemical Fate Information:** Not applicable

Section 12: Ecological Information

Ecotoxicological Information: Not determined
Chemical Fate Information: Not determined

Section 13: Disposal Considerations

Dispose of waste product in a sanitary landfill. As a disposal alternative, incinerate in an industrial or commercial facility in the presence of a combustible material. **WARNING:** To reduce the risks associated with improper disposal and/or handling of contaminates in used filters: Take appropriate steps to access the disposal required for any altered product or materials added to the product. (Alteration of the product or addition of other materials to the product may require different disposal methods.)

Section 14: Transport Information

Please contact the emergency numbers listed on the first page of the MSDS for Transportation Information for this material.

Section 15: Regulatory Information

Chemical Inventories

This product is an article as defined by TSCA regulations, and is exempt from TSCA Inventory listing requirements. Contact 3M Purification for more information.

311/312 Hazard Categories

Fire Hazard: No

Pressure Hazard: No Reactivity Hazard: No Immediate Hazard: No Delayed Hazard: No

U.S. Federal Regulations

Contact 3M for more information.

State Regulations

Contact 3M for more information.

International Regulations

Contact 3M for more information.

Section 16: Other Information

DISCLAIMER: The information in this Material Safety Data Sheet (MSDS) is believed to be correct as of the date issued. 3M PURIFICATION INC. MAKES NO WARRANTIES, EXPRESSED OR IMPLIED, INCLUDING, BUT NOT LIMITED TO, ANY IMPLIED WARRANTY OF MERCHANTABILITY OR FITNESS FOR A PARTICULAR PURPOSE OR COURSE OF PERFORMANCE OR USAGE OF TRADE. User is responsible for determining whether the 3M Purification Inc. product is fit for a particular purpose and suitable for user's method of use or application. Given the variety of factors that can affect the use and application of a 3M Purification product, some of which are uniquely within the user's knowledge and control, it is essential that the user evaluate the 3M Purification product to determine whether it is fit for a particular purpose and suitable for user's method of use or application.

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3M MSDSs are available at 3M.com

Food Contact Compliance

Designated filtration products comply with applicable U.S. regulations for food and beverage use.

The product is compliant with the requirements of Regulation (EC) 1935/2004 for food contact for use in aqueous, acidic, alcoholic and dairy products.

Consult 3M for detailed regulatory compliance information.

USP Biological Test for Plastics

3M™ LifeASSURE™ BNA Series Filter Cartridge materials of construction meet the USP Biological Reactivity for Class VI Plastics test.

Technical Information

The technical information, guidance, and other statements contained in this document or otherwise provided by 3M are based upon records, tests, or experience that 3M believes to be reliable, but the accuracy, completeness, and representative nature of such information is not guaranteed. Such information is intended for people with knowledge and technical skills sufficient to assess and apply their own informed judgment to the information. No license under any 3M or third party intellectual property rights is granted or implied with this information.

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