

ANNEXURE I

Format to be submitted by listed entity on quarterly basis

1. Name of Listed Entity - **3M India Limited**
2. Quarter ending - **31-Mar-2022**

i. Composition Of Board Of Director

Title (Mr./ Ms)	Name of the Director	DIN	PAN	Category (Chairperson /Executive/Non-Executive/ Independent/ Nominee)	Sub Category	Initial Date of Appointment	Date of Appointment	Date of cessation	Tenure	Date of Birth	Whether special resolution passed?	Date of passing special resolution	No. of Directorship in listed entities including this listed entity	No of Independent Directorship in listed entities including this listed entity	No of memberships in Audit/ Stakeholder Committee(s) including this listed entity	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity	Membership in Committees of the Company	Remarks
Mr.	RADHIKA RAJAN	00499485	AKDPR3238A	ID		27-May-2016	27-May-2021		60	23 - Apr-1956	Yes	24-Mar-2021	2	2	5	0	AC,SC,RC	
Mr.	RAMESH RAMADURAI	07109252	AAMPR9889E	ED	MD	27-Mar-2015	27-Mar-2015		33	13 - Feb-1962	NA		1	0	1	0	SC,RC	
Mr.	AMIT LAROYA	00098933	AAAPL8950A	NED		01-Oct-2013	01-Oct-2013			29 - Sep-1964	NA		1	0	0	0	NRC	

Mr.	BIREN GABHA WALA	0309 1772	AACPG 6021H	ID		05- Aug- 2014	14- Aug- 2019		60	28 - Fe b- 19 65	Yes	14- Aug- 2019	2	2	2	2	AC,SC,R C,NRC	
Mr.	BHARA T D SHAH	0013 6969	AADPS 4345K	ID,C & NED		27- Mar- 2015	27- Mar- 2020		60	18 - Fe b- 19 47	Yes	26- Mar- 2020	4	4	5	1	AC,SC,R C,NRC	
Mr.	JONGH O LEE	0672 0950	ZZZZZ9 999Z	NED		26- May- 2017	26- May- 2017	04- Feb- 202 2		05 - Ap r- 19 63	NA		1	0	1	0	AC	Fore ign Dire ctor
Ms.	MAMT A JANAK GORE	0879 2863	AKIPG9 089M	NED		01- Jun- 2021	01- Jun- 2021			23 - Se p- 19 65	NA		1	0	0	0		
Mr.	JAMES ERNES T FALTEI SEK	0879 2857	ZZZZZ9 999Z	NED		01- Sep- 2020	01- Sep- 2020			12 - Se p- 19 65	NA		1	0	0	0	RMC	Fore ign Dire ctor
Ms.	YUN JIN	0947 4323	ZZZZZ9 999Z	NED		04- Feb- 2022	04- Feb- 2022			11 - M ar- 19 74	NA		1	0	1	0	AC	Fore ign Dire ctor

Company Remarks	
Whether Permanent chairperson appointed	Yes
Whether Chairperson is related to MD or CEO	No

ii. Composition of Committees**a. Audit Committee**

<i>Sr. No.</i>	<i>Name of the Director</i>	<i>Category</i>	<i>Chairperson/Membership</i>	<i>Appointment Date</i>	<i>Cessation Date</i>
1	BIREN GABHAWALA	ID	Chairperson	05-Aug-2014	
2	BHARAT D SHAH	ID,C & NED	Member	28-Mar-2015	
3	RADHIKA RAJAN	ID	Member	27-May-2016	
4	JONGHO LEE	NED	Member	26-May-2017	04-Feb-2022
5	YUN JIN	NED	Member	04-Feb-2022	

Company Remarks	
Whether Permanent chairperson appointed	Yes

b. Stakeholders Relationship Committee

<i>Sr. No.</i>	<i>Name of the Director</i>	<i>Category</i>	<i>Chairperson/Membership</i>	<i>Appointment Date</i>	<i>Cessation Date</i>
1	BHARAT D SHAH	ID,C & NED	Chairperson	28-Mar-2015	
2	BIREN GABHAWALA	ID	Member	05-Aug-2014	
3	RADHIKA RAJAN	ID	Member	27-May-2016	
4	RAMESH RAMADURAI	ED	Member	01-Jun-2019	

Company Remarks	
Whether Permanent chairperson appointed	Yes

c. Risk Management Committee

<i>Sr. No.</i>	<i>Name of the Director</i>	<i>Category</i>	<i>Chairperson/Membership</i>	<i>Appointment Date</i>	<i>Cessation Date</i>
1	BIREN GABHAWALA	ID	Chairperson	01-Apr-2019	
2	BHARAT D SHAH	ID,C & NED	Member	01-Apr-2019	
3	RADHIKA RAJAN	ID	Member	01-Apr-2019	
4	RAMESH RAMADURAI	ED	Member	01-Jun-2019	
5	JAMES ERNEST FALTEISEK	NED	Member	01-Sep-2020	

Company Remarks	
Whether Permanent chairperson appointed	Yes

d. Nomination and Remuneration Committee

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
1	BIREN GABHAWALA	ID	Chairperson	05-Aug-2014	
2	BHARAT D SHAH	ID,C & NED	Member	28-Mar-2015	
3	AMIT LAROYA	NED	Member	01-Jun-2016	

Company Remarks	
Whether Permanent chairperson appointed	Yes

iii. Meeting of Board of Directors

Date(s) of Meeting (if any) in the previous quarter	Date(s) of Meeting (if any) in the relevant quarter	Whether requirement of Quorum met	Number of Directors present	Number of Independent Directors present
12-Nov-2021	03-Feb-2022	Yes	8	3
	30-Mar-2022	Yes	7	3

Company Remarks	
Maximum gap between any two consecutive (in number of days)	82

iv. Meeting of Committees

Name of the Committee	Date(s) of meeting during of the committee in the previous quarter	Date(s) of meeting of the committee in the relevant quarter	Whether requirement of Quorum met (Yes/No)	Number of Directors present	Number of independent directors present
Audit Committee	12-Nov-2021		Yes	4	3
Audit Committee		03-Feb-2022	Yes	4	3
Nomination & Remuneration Committee	12-Nov-2021		Yes	3	2
Nomination & Remuneration Committee		03-Feb-2022	Yes	3	2
Nomination & Remuneration Committee		30-Mar-2022	Yes	2	2
Stakeholders Relationship Committee	12-Nov-2021		Yes	4	3
Stakeholders Relationship Committee		03-Feb-2022	Yes	4	3
Risk Management Committee		03-Feb-2022	Yes	5	3

Company Remarks	
Maximum gap between any two consecutive (in number of days) [Only for Audit Committee]	82

v. **Related Party Transactions**

Subject	Compliance status (Yes/No/NA)	Remark
Whether prior approval of audit committee obtained	Yes	
Whether shareholder approval obtained for material RPT	Yes	
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Yes	

Disclosure of notes on related party transactions and Disclosure of notes of material related party transactions	shareholders have approved estimated material RPT's for 3 FY from April 1, 2020 to March 31, 2023 at the AGM held on August 26, 2020..Estimated RPTs for Jan 01, 2022 toMar 31, 2022 (Rs. Crores): 1. Sale of Goods:Rs.3.64 2. Income from Contract Research:Rs.3.54 3. Purchases:Rs.278.20 4.Royalty:Rs.13.26 5.Recharge of expenses invoiced out:Rs.11.44 6.Recharge of expenses invoiced in: Rs.0.72 7. Corporate Management Fee:Rs.23.02 Total : Rs.333.81
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VI. **Affirmations**

1. The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
2. The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015
 - a. Audit Committee - **Yes**
 - b. Nomination & remuneration committee - **Yes**
 - c. Stakeholders relationship committee - **Yes**
 - d. Risk management committee (applicable to the top 100 listed entities) - **Yes**
3. The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
4. The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.- **Yes**
5. a. This report and/or the report submitted in the previous quarter has been placed before Board of Directors. - **Yes**
b. Any comments/observations/advice of Board of Directors may be mentioned here:

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Name : **V. Srinivasan**
Designation : **Company Secretary & Compliance Officer**

ANNEXURE II

Format to be submitted by listed entity at the end of the financial year (for the whole of financial year)

I. Disclosure on website in terms of Listing Regulations			
Item	Compliance status	Company Remark	Website
As per regulation 46(2) of the LODR:			
Details of business	Yes		https://www.3mindia.in/3M/en_IN/company-in/about-3m/financial-facts-local/
Terms and conditions of appointment of	Yes		https://www.3mindia.in/3M/en_IN/company-in/about-3m/financial-facts-local/
Composition of various committees of	Yes		https://www.3mindia.in/3M/en_IN/company-in/about-3m/financial-facts-local/
Code of conduct of board of directors and	Yes		https://www.3mindia.in/3M/en_IN/company-in/about-3m/financial-facts-local/
Details of establishment of vigil mechanism/	Yes		https://www.3mindia.in/3M/en_IN/company-in/about-3m/financial-facts-local/
Criteria of making payments to non-	Yes		https://www.3mindia.in/3M/en_IN/company-in/about-3m/financial-facts-local/
Policy on dealing with related party	Yes		https://www.3mindia.in/3M/en_IN/company-in/about-3m/financial-facts-local/
Policy for determining 'material' subsidiaries	Not		
Details of familiarization programs imparted	Yes		https://www.3mindia.in/3M/en_IN/company-in/about-3m/financial-facts-local/
Email address for grievance redressal and other relevant details entity who are	Yes		https://www.3mindia.in/3M/en_IN/company-in/about-3m/financial-facts-local/
Contact information of the designated	Yes		https://www.3mindia.in/3M/en_IN/company-in/about-3m/financial-facts-local/
Financial results	Yes		https://www.3mindia.in/3M/en_IN/company-in/about-3m/financial-facts-local/
Shareholding pattern	Yes		https://www.3mindia.in/3M/en_IN/company-in/about-3m/financial-facts-local/
Details of agreements entered into with the media companies and/or their associates	Not Applicable		
Schedule of analyst or institutional investor meet and presentations made by the listed	Yes		https://www.3mindia.in/3M/en_IN/company-in/about-3m/financial-facts-local/
New name and the old name of the listed	Not		
Advertisements as per regulation 47 (1)	Yes		https://www.3mindia.in/3M/en_IN/company-in/about-3m/financial-facts-local/
Credit rating or revision in credit rating	Not		
Separate audited financial statements of	Yes		https://www.3mindia.in/3M/en_IN/company-in/about-3m/financial-facts-local/
As per other regulations of the LODR:			
Whether company has provided information under separate section on its website as per Regulation 46(2)	Yes		https://www.3mindia.in/3M/en_IN/company-in/about-3m/financial-facts-local/
Materiality Policy as per Regulation 30	Yes		https://www.3mindia.in/3M/en_IN/company-in/about-3m/financial-facts-local/
Dividend Distribution policy as per	Yes		https://www.3mindia.in/3M/en_IN/company-in/about-3m/financial-facts-local/
It is certified that these contents on the	Yes		https://www.3mindia.in/3M/en_IN/company-in/about-3m/financial-facts-local/
II Annual Affirmations			
Particulars	Regulation Number	Compliance status	Company Remark

<i>Independent director(s) have been appointed in terms of specified criteria of 'independence' and/or 'eligibility'</i>	16(1)(b) & 25(6)	Yes	
<i>Board composition</i>	17(1), 17(1A) & 17(1B)	Yes	
<i>Meeting of Board of directors</i>	17(2)	Yes	
<i>Quorum of Board meeting</i>	17(2A)	Yes	
<i>Review of Compliance Reports</i>	17(3)	Yes	
<i>Plans for orderly succession for appointments</i>	17(4)	Yes	
<i>Code of Conduct</i>	17(5)	Yes	
<i>Fees/compensation</i>	17(6)	Yes	
<i>Minimum Information</i>	17(7)	Yes	
<i>Compliance Certificate</i>	17(8)	Yes	
<i>Risk Assessment & Management</i>	17(9)	Yes	
<i>Performance Evaluation of Independent Directors</i>	17(10)	Yes	
<i>Recommendation of Board</i>	17(11)	Yes	
<i>Maximum number of directorship</i>	17A	Yes	
<i>Composition of Audit Committee</i>	18(1)	Yes	
<i>Meeting of Audit Committee</i>	18(2)	Yes	
<i>Composition of nomination & remuneration committee</i>	19(1) & (2)	Yes	
<i>Quorum of Nomination and Remuneration Committee meeting</i>	19(2A)	Yes	
<i>Meeting of nomination & remuneration committee</i>	19(3A)	Yes	
<i>Composition of Stakeholder Relationship Committee</i>	20(1), 20(2) and 20(2A)	Yes	
<i>Meeting of stakeholder relationship committee</i>	20(3A)	Yes	
<i>Composition and role of risk management committee</i>	21(1),(2),(3),(4)	Yes	
<i>Meeting of Risk Management Committee</i>	22	Yes	
<i>Vigil Mechanism</i>	22	Yes	
<i>Policy for related party Transaction</i>	23(1),(1A),(5),(6)	Yes	
<i>Prior or Omnibus approval of Audit Committee for all related party</i>	23(2), (3)	Yes	
<i>Approval for material related party transactions</i>	23(4)	Yes	
<i>Disclosure of related party transactions on consolidated basis</i>	23(9)	Yes	
<i>Composition of Board of Directors of unlisted material Subsidiary</i>	24(1)	Not Applicable	

<i>Other Corporate Governance requirements with respect to subsidiary of Annual Secretarial Compliance Report</i>	24(2),(3),(4),(5) & (6)	Yes	
	24(A)	Yes	
<i>Alternate Director to Independent Director</i>	25(1)	Not Applicable	
<i>Maximum Tenure</i>	25(2)	Yes	
<i>Meeting of independent directors</i>	25(3) & (4)	Yes	
<i>Familiarization of independent directors</i>	25(7)	Yes	
<i>Declaration from Independent Director</i>	25(8) & (9)	Yes	
<i>D & O Insurance for Independent</i>	25(10)	Yes	
<i>Memberships in Committees</i>	26(1)	Yes	
<i>Affirmation with compliance to code of conduct from members of Board of Directors and Senior management personnel</i>	26(3)	Yes	
<i>Disclosure of Shareholding by Non-Executive Directors</i>	26(4)	Yes	
<i>Policy with respect to Obligations of directors and senior management</i>	26(2) & 26(5)	Yes	

Other Information	
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III Affirmations:

The Listed Entity has approved Material Subsidiary Policy and the Corporate Governance requirements with respect to subsidiary of Listed Entity have been complied. - **Not Applicable**

Other Information	
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Name : **V.Srinivasan**
Designation : **Company Secretary & Compliance Officer**

ANNEXURE IV

%symbol%	%companyName%	%quarterEnded%
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(A) Any loan or any other form of debt advanced by the listed entity directly or indirectly to

Aggregate amount advanced during six months				Balance outstanding at the end of six months			
<i>Promoter or any other entity controlled by them</i>	<i>Promoter Group or any other entity controlled by them</i>	<i>Directors (including relatives) or any other entity controlled by them</i>	<i>KMPs or any other entity controlled by them</i>	<i>Promoter or any other entity controlled by them</i>	<i>Promoter Group or any other entity controlled by them</i>	<i>Directors (including relatives) or any other entity controlled by them</i>	<i>KMPs or any other entity controlled by them</i>

(B) Any guarantee/ comfort letter (by whatever name called) provided by the listed entity directly or indirectly, in connection with any loan(s) or any other form of debt availed by:

Entity	Type (guarantee, comfort letter etc.)	Aggregate amount of issuance during six months	Balance outstanding at the end of six months (taking into account any invocation)
<i>Promoter or any other entity controlled by them</i>			
<i>Promoter Group or any other entity controlled by them</i>			
<i>Directors (including relatives) or any other entity controlled by them</i>			
<i>KMPs or any other entity controlled by them</i>			

(C) Any security provided by the listed entity directly or indirectly, in connection with any loan(s) or any other form of debt availed by:

Entity	Type of Security (cash, shares etc.)	Aggregate value of security provided during six months	Balance outstanding at the end of six months
<i>Promoter or any other entity controlled by them</i>			
<i>Promoter Group or any other entity controlled by them</i>			
<i>Directors (including relatives) or any other entity controlled by them</i>			
<i>KMPs or any other entity controlled by them</i>			

(D) If the Listed Entity would like to provide any other information the same may be indicated here

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Affirmations

All loans (or other form of debt), guarantees, comfort letters (by whatever name called) or securities in connection with any loan(s) (or other form of debt) given directly or indirectly by the listed entity to promoter(s), promoter group, director(s) (including their relatives), key managerial personnel (including their relatives) or any entity controlled by them are in the economic interest of the company

Company Remarks in case of non-compliant status

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Name:
Designation: anx4Designation
Place:
Date: