

ANNEXURE I

Format to be submitted by listed entity on quarterly basis

1. Name of Listed Entity - **3M India Limited**

2. Quarter ending - **30-Sep-2021**

i. Composition Of Board Of Director

Title (Mr./Ms)	Name of the Director	DIN	PAN	Category (Chairperson /Executive/Non-Executive/ Independent/ Nominee)	Sub Category	Initial Date of Appointment	Date of Appointment	Date of cessation	Tenure	Date of Birth	Whether special resolution passed?	Date of passing special resolution	No. of Directorship in listed entities including this listed entity	No of Independent Directorship in listed entities including this listed entity	No of memberships in Audit/ Stakeholder Committee(s) including this listed entity	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity	Membership in Committees of the Company	Remarks
Ms.	RADHIKA RAJAN	00499485	AKDPR3238A	ID		27-May-2016	27-May-2021		60	23 - Apr-1956	Yes	24-Mar-2021	2	2	5	0	AC,SC,RC	
Mr.	RAMESH RAMA DURAI	07109252	AAMP R9889E	ED	MD	27-Mar-2015	27-Mar-2015		33	13 - Feb-1962	NA		1	0	1	0	SC,RC	
Mr.	AMIT LAROYA	00098933	AAAPL8950A	NED		01-Oct-2013	01-Oct-2013			29 - Sep-1964	NA		1	0	0	0	NRC	

Mr.	BIREN GABH AWAL A	0309 1772	AACPG 6021H	ID		05- Aug- 2014	14- Aug- 2019		60	28 - Fe b- 19 65	Yes	14- Aug- 201 9	2	2	2	2	AC,SC, RC,NR C	
Mr.	BHAR AT D SHAH	0013 6969	AADPS 4345K	ID,C & NED		27- Mar- 2015	27- Mar- 2020		60	18 - Fe b- 19 47	Yes	26- Mar- 202 0	4	4	5	1	AC,SC, RC,NR C	
Mr.	JONGH O LEE	0672 0950	ZZZZ9 999Z	NED		26- May- 2017	26- May- 2017			05 - Ap r- 19 63	NA		1	0	1	0	AC,NR C	For eign Dire ctor
Ms.	MAMT A JANAK GORE	0879 2863	AKIPG 9089M	NED		01- Jun- 2021	01- Jun- 2021			23 - Se p- 19 65	NA		1	0	0	0		
Mr.	JAMES ERNES T FALTE ISEK	0879 2857	ZZZZ9 999Z	NED		01- Sep- 2020	01- Sep- 2020			12 - Se p- 19 65	NA		1	0	0	0	RMC	For eign Dire ctor

Company Remarks	
Whether Permanent chairperson appointed	Yes
Whether Chairperson is related to MD or CEO	No

ii. Composition of Committees

a. Audit Committee

<i>Sr. No.</i>	<i>Name of the Director</i>	<i>Category</i>	<i>Chairperson/Membership</i>	<i>Appointment Date</i>	<i>Cessation Date</i>
1	BIREN GABHAWALA	ID	Chairperson	05-Aug-2014	
2	BHARAT D SHAH	ID,C & NED	Member	28-Mar-2015	
3	RADHIKA RAJAN	ID	Member	27-May-2016	
4	JONGHO LEE	NED	Member	26-May-2017	

Company Remarks	
Whether Permanent chairperson appointed	Yes

b. Stakeholders Relationship Committee

<i>Sr. No.</i>	<i>Name of the Director</i>	<i>Category</i>	<i>Chairperson/Membership</i>	<i>Appointment Date</i>	<i>Cessation Date</i>
1	BHARAT D SHAH	ID,C & NED	Chairperson	28-Mar-2015	
2	BIREN GABHAWALA	ID	Member	05-Aug-2014	
3	RADHIKA RAJAN	ID	Member	27-May-2016	
4	RAMESH RAMADURAI	ED	Member	01-Jun-2019	

Company Remarks	
Whether Permanent chairperson appointed	Yes

c. Risk Management Committee

<i>Sr. No.</i>	<i>Name of the Director</i>	<i>Category</i>	<i>Chairperson/Membership</i>	<i>Appointment Date</i>	<i>Cessation Date</i>
1	BIREN GABHAWALA	ID	Chairperson	01-Apr-2019	
2	BHARAT D SHAH	ID,C & NED	Member	01-Apr-2019	
3	RADHIKA RAJAN	ID	Member	01-Apr-2019	
4	RAMESH RAMADURAI	ED	Member	01-Jun-2019	
5	JAMES ERNEST FALTEISEK	NED	Member	01-Sep-2020	

Company Remarks	
Whether Permanent chairperson appointed	Yes

d. Nomination and Remuneration Committee

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
1	BIREN GABHAWALA	ID	Chairperson	05-Aug-2014	
2	BHARAT D SHAH	ID,C & NED	Member	28-Mar-2015	
3	AMIT LAROYA	NED	Member	01-Jun-2016	
4	JONGHO LEE	NED	Member	29-May-2020	13-Aug-2021

Company Remarks	
Whether Permanent chairperson appointed	Yes

iii. Meeting of Board of Directors

Date(s) of Meeting (if any) in the previous quarter	Date(s) of Meeting (if any) in the relevant quarter	Whether requirement of Quorum met	Number of Directors present	Number of Independent Directors present
28-May-2021	12-Aug-2021	Yes	8	3
	17-Sep-2021	Yes	7	3

Company Remarks	
Maximum gap between any two consecutive (in number of days)	75

iv. Meeting of Committees

Name of the Committee	Date(s) of meeting during of the committee in the previous quarter	Date(s) of meeting of the committee in the relevant quarter	Whether requirement of Quorum met (Yes/No)	Number of Directors present	Number of independent directors present
Audit Committee	28-May-2021		Yes	4	3
Audit Committee		12-Aug-2021	Yes	4	3
Audit Committee		17-Sep-2021	Yes	4	3
Nomination & Remuneration Committee	28-May-2021		Yes	4	2
Nomination & Remuneration Committee		12-Aug-2021	Yes	4	2

Stakeholders Relationship Committee	28-May-2021		Yes	4	3
Stakeholders Relationship Committee		12-Aug-2021	Yes	4	3
Risk Management Committee			Yes	5	3
Risk Management Committee		12-Aug-2021	Yes	5	3

Company Remarks	
Maximum gap between any two consecutive (in number of days) [Only for Audit Committee]	75

v. Related Party Transactions

Subject	Compliance status (Yes/No/NA)	Remark
Whether prior approval of audit committee obtained	Yes	
Whether shareholder approval obtained for material RPT	Yes	
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Yes	

Disclosure of notes on related party transactions and Disclosure of notes of material related party transactions	Shareholders have approved estimated material RPT's for 3 FY from April 1, 2020 to March 31, 2023 at the AGM held on August 26, 2020. Estimated RPTs for July 01, 2021 to September 30, 2021 (Rs. Crores): 1. Sale of Goods:Rs.9.41 2. Income from Contract Research:Rs.3.23 3. Purchases:Rs.367.72 4.Royalty:Rs.8.23 5.Recharge of expenses invoiced out:Rs.10.05 6.Recharge of expenses invoiced in:Rs.0.32 7. Corporate Management Fee:Rs.35.55 Total Rs.434.51
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VI. Affirmations

1. The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
2. The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015
 - a. Audit Committee - **Yes**
 - b. Nomination & remuneration committee - **Yes**
 - c. Stakeholders relationship committee - **Yes**
 - d. Risk management committee (applicable to the top 100 listed entities) - **Yes**
3. The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure

requirements) Regulations, 2015. - **Yes**

4. The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.- **Yes**

5. a. This report and/or the report submitted in the previous quarter has been placed before Board of Directors. - **Yes**

b. Any comments/observations/advice of Board of Directors may be mentioned here:

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Name : **V. Srinivasan**
Designation : **Company Secretary & Compliance Officer**

ANNEXURE III

Affirmations

Broad Heading	Regulation Number	Compliance Status	Company Remark
Copy of the annual report including balance sheet, profit and loss account, directors report, corporate governance report, business responsibility report displayed on website	46(2)	Yes	
Presence of Chairperson of Audit Committee at the Annual General Meeting	18(1)(d)	Yes	
Presence of Chairperson of the nomination and remuneration committee at the annual general meeting	19(3)	Yes	
Presence of Chairperson of the Stakeholder Relationship committee at the annual general meeting	20(3)	Yes	
Whether "Corporate Governance Report" disclosed in Annual Report	34(3) read with para C of Schedule V	Yes	

Note:

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Name : **V Srinivasan**
Designation : **Company Secretary & Compliance Officer**

ANNEXURE IV

%symbol%	%companyName%	%quarterEnded%
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(A) Any loan or any other form of debt advanced by the listed entity directly or indirectly to

Aggregate amount advanced during six months				Balance outstanding at the end of six months			
<i>Promoter or any other entity controlled by them</i>	<i>Promoter Group or any other entity controlled by them</i>	<i>Directors (including relatives) or any other entity controlled by them</i>	<i>KMPs or any other entity controlled by them</i>	<i>Promoter or any other entity controlled by them</i>	<i>Promoter Group or any other entity controlled by them</i>	<i>Directors (including relatives) or any other entity controlled by them</i>	<i>KMPs or any other entity controlled by them</i>

(B) Any guarantee/ comfort letter (by whatever name called) provided by the listed entity directly or indirectly, in connection with any loan(s) or any other form of debt availed by:

Entity	Type (guarantee, comfort letter etc.)	Aggregate amount of issuance during six months	Balance outstanding at the end of six months (taking into account any invocation)
<i>Promoter or any other entity controlled by them</i>			
<i>Promoter Group or any other entity controlled by them</i>			
<i>Directors (including relatives) or any other entity controlled by them</i>			
<i>KMPs or any other entity controlled by them</i>			

(C) Any security provided by the listed entity directly or indirectly, in connection with any loan(s) or any other form of debt availed by:

Entity	Type of Security (cash, shares etc.)	Aggregate value of security provided during six months	Balance outstanding at the end of six months
<i>Promoter or any other entity controlled by them</i>			
<i>Promoter Group or any other entity controlled by them</i>			
<i>Directors (including relatives) or any other entity controlled by them</i>			
<i>KMPs or any other entity controlled by them</i>			

(D) If the Listed Entity would like to provide any other information the same may be indicated here

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Affirmations	
<i>All loans (or other form of debt), guarantees, comfort letters (by whatever name called) or securities in connection with any loan(s) (or other form of debt) given directly or indirectly by the listed entity to promoter(s), promoter group, director(s) (including their relatives), key managerial personnel (including their relatives) or any entity controlled by them are in the economic interest of the company</i>	
Company Remarks in case of non-compliant status	

Name: Designation: anx4Designation Place: Date:
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