

ANNEXURE I

Format to be submitted by listed entity on quarterly basis

1. Name of Listed Entity - **3M India Limited**
 2. Quarter ending - **March 31, 2021**

i. Composition Of Board Of Director

Title (Mr./Ms)	Name of the Director	DIN	PAN	Category (Chair person /Executive/Non-Executive/ Independent/ Nominee)	Sub Category	Initial Date of Appointment	Date of Appointment	Date of cessation	Tenure	Date of Birth	Whether special resolution passed?	Date of passing special resolution	No. of Directorship in listed entities including this listed entity	No of Independent Directorship in listed entities including this listed entity	No of memberships in Audit/ Stakeholder Committee(s) including this listed entity	No of post of Chairpersons in Audit / Stakeholder Committee held in listed entities including this listed entity	Membership in Committees of the Company	Remarks
Ms.	RADHI KA RAJAN	00499485	AKDPR3238A	ID		27-May-2016	27-May-2016		60	23 - Apr - 1956	Yes	24-Mar-2021	2	2	5	0	AC,SC, RC	Shareholders approved the "RE-APPOINTMENT OF MS. RADHI KA GOVIND RAJAN (DIN: 00499485) AS

																		A NON-EXECUTIVE INDEPENDENT DIRECTOR OF THE COMPANY FOR A SECOND TERM OF 5 (FIVE) YEARS EFFECTIVE FROM MAY 27, 2021" vide Postal Ballot notice dated 10 February 2021.
Mr.	RAMESH RAMADURAI	07109252	AAMP R9889E	ED	MD	27-Mar-2015	27-Mar-2015		33	13 - Feb-1962	NA		1	0	1	0	SC,RC	
Mr.	AMIT LAROA	00098933	AAAPL 8950A	NED		01-Oct-2013	01-Oct-2013			29 - Sep-1964	NA		1	0	0	0	NRC	
Mr.	BIREN GABH	03091772	AACPG 6021H	ID		05-Aug-	14-Aug-		60	28 -	Yes	14-Aug-	2	2	2	2	AC,SC, RC,NR	

	AWAL A					2014	2019			Feb-19-65		2019					C	
Mr.	BHARAT D SHAH	00136969	AADPS4345K	ID,C & NED		27-Mar-2015	27-Mar-2020		60	18-Feb-19-47	Yes	26-Mar-2020	5	5	6	1	AC,SC,RC,NRC	
Mr.	JONGHO LEE	06720950	ZZZZZ9999Z	NED		26-May-2017	26-May-2017			05-Apr-19-63	NA		1	0	1	0	AC,NRC	Foreign Director
Ms.	MAMTA JANAK GORE	08792863	AKIPG9089M	ED		01-Sep-2020	01-Sep-2020		36	23-Sep-19-65	NA		1	0	0	0		
Mr.	JAMES ERNEST FALTE ISEK	08792857	ZZZZZ9999Z	NED		01-Sep-2020	01-Sep-2020			12-Sep-19-65	NA		1	0	0	0	RMC	Foreign Director

Company Remarks	
Whether Permanent chairperson appointed	Yes
Whether Chairperson is related to MD or CEO	No

ii. Composition of Committees

a. Audit Committee

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
1	BIREN GABHAWALA	ID	Chairperson	05-Aug-2014	
2	BHARAT D SHAH	ID,C & NED	Member	28-Mar-2015	
3	RADHIKA RAJAN	ID	Member	27-May-2016	
4	JONGHO LEE	NED	Member	26-May-2017	

Company Remarks	
Whether Permanent chairperson appointed	Yes

b. Stakeholders Relationship Committee

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
1	BHARAT D SHAH	ID,C & NED	Chairperson	28-Mar-2015	
2	BIREN GABHAWALA	ID	Member	05-Aug-2014	
3	RADHIKA RAJAN	ID	Member	27-May-2016	
4	RAMESH RAMADURAI	ED	Member	01-Jun-2019	

Company Remarks	
Whether Permanent chairperson appointed	Yes

c. Risk Management Committee

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
1	BIREN GABHAWALA	ID	Chairperson	01-Apr-2019	
2	BHARAT D SHAH	ID,C & NED	Member	01-Apr-2019	
3	RADHIKA RAJAN	ID	Member	01-Apr-2019	
4	RAMESH RAMADURAI	ED	Member	01-Jun-2019	
5	JAMES ERNEST FALTEISEK	NED	Member	01-Sep-2020	

Company Remarks	
Whether Permanent chairperson appointed	Yes

d. Nomination and Remuneration Committee

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
1	BIREN GABHAWALA	ID	Chairperson	05-Aug-2014	
2	BHARAT D SHAH	ID,C & NED	Member	28-Mar-2015	
3	AMIT LAROYA	NED	Member	01-Jun-2016	
4	JONGHO LEE	NED	Member	29-May-2020	

Company Remarks	
Whether Permanent chairperson appointed	Yes

iii. Meeting of Board of Directors

Date(s) of Meeting (if any) in the previous quarter	Date(s) of Meeting (if any) in the relevant quarter	Whether requirement of Quorum met	Number of Directors present	Number of Independent Directors present
13-Nov-2020	10-Feb-2021	Yes	8	3

Company Remarks	
Maximum gap between any two consecutive (in number of days)	88

iv. Meeting of Committees

Name of the Committee	Date(s) of meeting during of the committee in the previous quarter	Date(s) of meeting of the committee in the relevant quarter	Whether requirement of Quorum met (Yes/No)	Number of Directors present	Number of independent directors present
Audit Committee	13-Nov-2020		Yes	4	3
Audit Committee		10-Feb-2021	Yes	4	3
Stakeholders Relationship Committee	13-Nov-2020		Yes	4	3
Stakeholders Relationship Committee		10-Feb-2021	Yes	4	3
Nomination & Remuneration Committee		10-Feb-2021	Yes	4	2
Risk Management Committee		10-Feb-2021	Yes	5	3

Company Remarks	
Maximum gap between any two consecutive (in number of days) [Only for Audit Committee]	88

v. Related Party Transactions

Subject	Compliance status (Yes/No/NA)	Remark
Whether prior approval of audit committee obtained	Yes	
Whether shareholder approval obtained for material RPT	Yes	
Whether details of RPT entered into pursuant to omnibus	Yes	

approval have been reviewed by Audit Committee		
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Disclosure of notes on related party transactions and Disclosure of notes of material related party transactions	Shareholders have approved estimated material RPT's for 3 FY from April 1, 2020 to March 31, 2023 at the AGM held on August 26, 2020. Estimated RPTs for Jan to March 31, 2021 (Rs. Crores): 1. Sale of Goods: Rs. 3.97 2. Income from Contract Research: Rs.3.45 3. Purchases: Rs.287.78 4.Royalty: Rs. 8.34 5.Recharge of expenses invoiced out: Rs.14.32 6.Recharge of expenses invoiced in: Rs. Nil 7. Corporate Management Fee: Rs.28.74 Total = Rs.346.60
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VI. Affirmations

1. The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
2. The composition of the following committees is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015
 - a. Audit Committee - **Yes**
 - b. Nomination & remuneration committee - **Yes**
 - c. Stakeholders relationship committee - **Yes**
 - d. Risk management committee (applicable to the top 100 listed entities) - **Yes**
3. The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
4. The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.- **Yes**
5. a. This report and/or the report submitted in the previous quarter has been placed before Board of Directors. - **Yes**
b. Any comments/observations/advice of Board of Directors may be mentioned here:

%affirmComments%

Name : **V Srinivasan**
Designation : **Company Secretary and Compliance Officer**

ANNEXURE II

Format to be submitted by listed entity at the end of the financial year (for the whole of financial year)

I. Disclosure on website in terms of Listing Regulations			
Item	Compliance status	Company Remark	Website
As per regulation 46(2) of the LODR:			
Details of business	Yes		https://www.3mindia.in/3M/en_IN/company-in/about-3m/financial-facts-local/
Terms and conditions of appointment of	Yes		https://www.3mindia.in/3M/en_IN/company-in/about-3m/financial-facts-local/
Composition of various committees of	Yes		https://www.3mindia.in/3M/en_IN/company-in/about-3m/financial-facts-local/
Code of conduct of board of directors and	Yes		https://www.3mindia.in/3M/en_IN/company-in/about-3m/financial-facts-local/
Details of establishment of vigil mechanism/	Yes		https://www.3mindia.in/3M/en_IN/company-in/about-3m/financial-facts-local/
Criteria of making payments to non-	Yes		https://www.3mindia.in/3M/en_IN/company-in/about-3m/financial-facts-local/
Policy on dealing with related party	Yes		https://www.3mindia.in/3M/en_IN/company-in/about-3m/financial-facts-local/
Policy for determining 'material' subsidiaries	Not		
Details of familiarization programs imparted	Yes		https://www.3mindia.in/3M/en_IN/company-in/about-3m/financial-facts-local/
Email address for grievance redressal and other relevant details entity who are	Yes		https://www.3mindia.in/3M/en_IN/company-in/about-3m/financial-facts-local/
Contact information of the designated	Yes		https://www.3mindia.in/3M/en_IN/company-in/about-3m/financial-facts-local/
Financial results	Yes		https://www.3mindia.in/3M/en_IN/company-in/about-3m/financial-facts-local/
Shareholding pattern	Yes		https://www.3mindia.in/3M/en_IN/company-in/about-3m/financial-facts-local/
Details of agreements entered into with the media companies and/or their associates	Not Applicable		
Schedule of analyst or institutional investor meet and presentations made by the listed	Yes		https://www.3mindia.in/3M/en_IN/company-in/about-3m/financial-facts-local/
New name and the old name of the listed	Not		
Advertisements as per regulation 47 (1)	Yes		https://www.3mindia.in/3M/en_IN/company-in/about-3m/financial-facts-local/
Credit rating or revision in credit rating	Not		
Separate audited financial statements of	Yes		https://www.3mindia.in/3M/en_IN/company-in/about-3m/financial-facts-local/
As per other regulations of the LODR:			
Whether company has provided information under separate section on its website as per Regulation 46(2)	Yes		https://www.3mindia.in/3M/en_IN/company-in/about-3m/financial-facts-local/
Materiality Policy as per Regulation 30	Yes		https://www.3mindia.in/3M/en_IN/company-in/about-3m/financial-facts-local/
Dividend Distribution policy as per	Yes		https://www.3mindia.in/3M/en_IN/company-in/about-3m/financial-facts-local/
It is certified that these contents on the	Yes		https://www.3mindia.in/3M/en_IN/company-in/about-3m/financial-facts-local/
II Annual Affirmations			
Particulars	Regulation Number	Compliance status	Company Remark
<i>Independent director(s) have been appointed in terms of specified criteria of 'independence' and/or 'eligibility'</i>	16(1)(b) & 25(6)	Yes	
<i>Board composition</i>	17(1), 17(1A) & 17(1B)	Yes	
<i>Meeting of Board of directors</i>	17(2)	Yes	

Quorum of Board meeting	17(2A)	Yes	
Review of Compliance Reports	17(3)	Yes	
Plans for orderly succession for appointments	17(4)	Yes	
Code of Conduct	17(5)	Yes	
Fees/compensation	17(6)	Yes	
Minimum Information	17(7)	Yes	
Compliance Certificate	17(8)	Yes	
Risk Assessment & Management	17(9)	Yes	
Performance Evaluation of Independent Directors	17(10)	Yes	
Recommendation of Board	17(11)	Yes	
Maximum number of directorship	17A	Yes	
Composition of Audit Committee	18(1)	Yes	
Meeting of Audit Committee	18(2)	Yes	
Composition of nomination & remuneration committee	19(1) & (2)	Yes	
Quorum of Nomination and Remuneration Committee meeting	19(2A)	Yes	
Meeting of nomination & remuneration committee	19(3A)	Yes	
Composition of Stakeholder Relationship Committee	20(1), 20(2) and 20(2A)	Yes	
Meeting of stakeholder relationship committee	20(3A)	Yes	
Composition and role of risk management committee	21(1),(2),(3),(4)	Yes	
Meeting of Risk Management Committee	22	Yes	
Vigil Mechanism	22	Yes	
Policy for related party Transaction	23(1),(1A),(5),(6)	Yes	
Prior or Omnibus approval of Audit Committee for all related party	23(2), (3)	Yes	
Approval for material related party transactions	23(4)	Yes	
Disclosure of related party transactions on consolidated basis	23(9)	Yes	
Composition of Board of Directors of unlisted material Subsidiary	24(1)	Not Applicable	
Other Corporate Governance requirements with respect to subsidiary of	24(2),(3),(4),(5) & (6)	Yes	
Annual Secretarial Compliance Report	24(A)	Yes	
Alternate Director to Independent Director	25(1)	Not Applicable	
Maximum Tenure	25(2)	Yes	
Meeting of independent directors	25(3) & (4)	Yes	

<i>Familiarization of independent directors</i>	25(7)	Yes	
<i>Declaration from Independent Director</i>	25(8) & (9)	Yes	
<i>D & O Insurance for Independent</i>	25(10)	Yes	
<i>Memberships in Committees</i>	26(1)	Yes	
<i>Affirmation with compliance to code of conduct from members of Board of Directors and Senior management personnel</i>	26(3)	Yes	
<i>Disclosure of Shareholding by Non-Executive Directors</i>	26(4)	Yes	
<i>Policy with respect to Obligations of directors and senior management</i>	26(2) & 26(5)	Yes	

Other Information	
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III Affirmations:

The Listed Entity has approved Material Subsidiary Policy and the Corporate Governance requirements with respect to subsidiary of Listed Entity have been complied. -
Not Applicable

Other Information	
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Name : **V.Srinivasan**
Designation : **Company Secretary & Compliance Officer**