

A ANNEXURE I

Format to be submitted by listed entity on quarterly basis

1. Name of Listed Entity - **3M India Limited**
 2. Quarter ending - **31-Mar-2019**

i. Composition Of Board Of Director

T i t l e (M r . / M s)	Name of the Director	DIN	Cat e g o r y (Ch a i r p e r s o n / E x e c u t i v e / N o n - E x e c u t i v e / I n d e p e n d e n t / N o m i n e e)	Su b C a t e g o r y	Dat e o f A p p o i n t m e n t	D a t e o f c e s s a t i o n	Te n u r e	No o f D i r e c t o r s h i p i n l i s t e d e n t i t i e s i n c l u d i n g t h i s l i s t e d e n t i t y	No o f m e m b e r s h i p s i n A u d i t / S t a k e h o l d e r C o m m i t t e e (s) i n c l u d i n g t h i s l i s t e d e n t i t y	No o f p o s t o f C h a i r p e r s o n i n A u d i t / S t a k e h o l d e r C o m m i t t e e h e l d i n l i s t e d e n t i t i e s i n c l u d i n g t h i s l i s t e d e n t i t y	Memb e r s h i p i n C o m m i t t e e s o f t h e C o m p a n y	Remarks
Ms.	RADHIKA RAJAN	00499485	ID		27- May- 2016		60	2	5	0	AC,SC	
Mr.	RAMESH RAMADURAI	07109252	NED		27- Mar- 2015			1	0	0		
Mr.	AMIT LAROYA	00098933	NED		01- Jun- 2016			1	0	0	NRC	
Mr.	B V SHANKARAN ARAYANA RAO	00044840	ED		01- Apr- 2017		38	1	0	0		
Ms.	DEBARATI SEN	07521172	ED	MD	01- Jun- 2016		60	1	1	0	SC	
Mr.	BIREN GABHAWALA	03091772	ID		05- Aug- 2014		60	2	4	2	AC,SC, NRC	
Mr.	BHARAT D SHAH	00136969	ID,C & NED		27- Mar- 2015		60	5	5	1	AC,SC, NRC	
Mr.	JONGHO LEE	06720950	NED		26- May- 2017			1	1	0	AC	FOREIGN DIRECTOR
Ms.	SADHANA KAUL	02589934	NED		31- Oct- 2018			1	0	0	NRC	

Company Remarks	
Whether Permanent chairperson appointed	Yes

ii. **Composition of Committees**

a. **Audit Committee**

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
1	JONGHO LEE	NED	Member	26-May-2017	
2	RADHIKA RAJAN	ID	Member	27-May-2016	
3	BHARAT D SHAH	ID,C & NED	Member	28-Mar-2015	
4	BIREN GABHAWALA	ID	Chairperson	05-Aug-2014	

Company Remarks	
Whether Permanent chairperson appointed	Yes

b. **Stakeholders Relationship Committee**

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
1	BHARAT D SHAH	ID,C & NED	Chairperson	28-Mar-2015	
2	BIREN GABHAWALA	ID	Member	05-Aug-2014	
3	RADHIKA RAJAN	ID	Member	27-May-2016	
4	DEBARATI SEN	ED	Member	01-Jun-2016	

Company Remarks	
Whether Permanent chairperson appointed	Yes

c. **Risk Management Committee**

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
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Company Remarks	
Whether Permanent chairperson appointed	

d. **Nomination and Remuneration Committee**

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
1	BIREN GABHAWALA	ID	Chairperson	05-Aug-2014	
2	BHARAT D SHAH	ID,C & NED	Member	28-Mar-2015	
3	AMIT LAROYA	NED	Member	01-Jun-2016	
4	SADHANA KAUL	NED	Member	31-Oct-2018	

Company Remarks	
Whether Permanent chairperson appointed	Yes

iii. **Meeting of Board of Directors**

Date(s) of Meeting (if any) in the previous quarter	Date(s) of Meeting (if any) in the relevant quarter
30-Oct-2018	12-Feb-2019

Company Remarks	
Maximum gap between any two consecutive (in number of days)	104

iv. **Meeting of Committees**

Name of the Committee	Date(s) of meeting during of the committee in the previous quarter	Date(s) of meeting of the committee in the relevant quarter	Requirement of Quorum met (details)	Whether requirement of Quorum met (Yes/No)
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Audit Committee	30-Oct-2018	12-Feb-2019	4	Yes
Stakeholders Relationship Committee	30-Oct-2018	12-Feb-2019	4	Yes
Nomination & Remuneration Committee	30-Oct-2018	12-Feb-2019	4	Yes

Company Remarks	
Maximum gap between any two consecutive (in number of days) [Only for Audit Committee]	104

v. **Related Party Transactions**

<i>Subject</i>	<i>Compliance status (Yes/No/NA)</i>	<i>Remark</i>
Whether prior approval of audit committee obtained	Yes	
Whether shareholder approval obtained for material RPT	Yes	
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Yes	

Disclosure of notes on related party transactions and Disclosure of notes of material related party transactions	nShareholders have approved in their meeting RPTs for 3 years up to 2019-20.nRPTs for the year 2018-19
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VI. **Affirmations**

1. The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
2. The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015
 - a. Audit Committee - **Yes**
 - b. Nomination & remuneration committee - **Yes**
 - c. Stakeholders relationship committee - **Yes**
 - d. Risk management committee (applicable to the top 100 listed entities) - **Not applicable**
3. The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
4. The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.- **Yes**
5. a. This report and/or the report submitted in the previous quarter has been placed before Board of Directors. - **Yes**
b. Any comments/observations/advice of Board of Directors may be mentioned here:

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Name : V SRINIVASAN
Designation : Company Secretary & Compliance Officer

ANNEXURE II

Format to be submitted by listed entity at the end of the financial year (for the whole of financial year)

I. Disclosure on website in terms of Listing Regulations			
<i>Item</i>	<i>Compliance status (Yes/No/NA)</i>	<i>Company Remark</i>	<i>Website</i>
Details of business	Yes		https://www.3mindia.in/3M/e
Terms and conditions of appointment of independent	Yes		https://www.3mindia.in/3M/e

Composition of various committees of board of	Yes		https://www.3mindia.in/3M/e
Code of conduct of board of directors and senior	Yes		https://www.3mindia.in/3M/e
Details of establishment of vigil mechanism/ Whistle	Yes		https://www.3mindia.in/3M/e
Criteria of making payments to non-executive	Yes		https://www.3mindia.in/3M/e
Policy on dealing with related party transactions	Yes		https://www.3mindia.in/3M/e
Policy for determining 'material' subsidiaries	Not Applicable		https://www.3mindia.in/3M/e
Details of familiarization programs imparted to	Yes		https://www.3mindia.in/3M/e
Contact information of the designated officials of the listed entity who are responsible for	Yes		https://www.3mindia.in/3M/e
assisting and handling investor grievances email address for grievance redressal and other	Yes		https://www.3mindia.in/3M/e
Financial results	Yes		https://www.3mindia.in/3M/e
Shareholding pattern	Yes		https://www.3mindia.in/3M/e
Details of agreements entered into with the media companies and/or their associates	Not Applicable		
New name and the old name of the listed entity	Not Applicable		
II Annual Affirmations			
Particulars	Regulation Number	Compliance status	Company Remark
<i>Independent director(s) have been appointed in terms of specified criteria of 'independence' and/or 'eligibility'</i>	16(1)(b) & 25(6)	Yes/No/	
<i>Board composition</i>	17(1)	Yes	
<i>Meeting of Board of directors</i>	17(2)	Yes	
<i>Review of Compliance Reports</i>	17(3)	Yes	
<i>Plans for orderly succession for appointments</i>	17(4)	Yes	
<i>Code of Conduct</i>	17(5)	Yes	
<i>Fees/compensation</i>	17(6)	Yes	
<i>Minimum Information</i>	17(7)	Yes	
<i>Compliance Certificate</i>	17(8)	Yes	
<i>Risk Assessment & Management</i>	17(9)	Yes	
<i>Performance Evaluation of Independent Directors</i>	17(10)	Yes	
<i>Composition of Audit Committee</i>	18(1)	Yes	
<i>Meeting of Audit Committee</i>	18(2)	Yes	
<i>Composition of nomination & remuneration committee</i>	19(1) & (2)	Yes	
<i>Composition of Stakeholder Relationship Committee</i>	20(1) & (2)	Yes	
<i>Composition and role of risk management committee</i>	21(1),(2),(3),(4)	Not Applicable	
<i>Vigil Mechanism</i>	22	Yes	
<i>Policy for related party Transaction</i>	23(1),(5),(6),(7) & (8)	Yes	
<i>Prior or Omnibus approval of Audit Committee for all related party transactions</i>	23(2), (3)	Yes	
<i>Approval for material related party transactions</i>	23(4)	Yes	
<i>Composition of Board of Directors of unlisted material Subsidiary</i>	24(1)	Not Applicable	
<i>Other Corporate Governance requirements with respect to subsidiary of listed entity</i>	24(2),(3),(4),(5) & (6)	Yes	
<i>Maximum Directorship & Tenure</i>	25(1) & (2)	Yes	
<i>Meeting of independent directors</i>	25(3) & (4)	Yes	

<i>Familiarization of independent directors</i>	25(7)	Yes	
<i>Memberships in Committees</i>	26(1)	Yes	
<i>Affirmation with compliance to code of conduct from members of Board of Directors and Senior management personnel</i>	26(3)	Yes	
<i>Disclosure of Shareholding by Non- Executive Directors</i>	26(4)	Yes	
<i>Policy with respect to Obligations of directors and senior management</i>	26(2) & 26(5)	Yes	

Other Information	
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III Affirmations:

The Listed Entity has approved Material Subsidiary Policy and the Corporate Governance requirements with respect to subsidiary of Listed Entity have been complied. - **Not Applicable**

Other Information	
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Name : **V SRINIVASAN**
Designation : **Company Secretary & Compliance Officer**