

# Suggested Containment Control Strategy and Personal Protective Equipment based on Active Pharmaceutical Ingredient Occupational Exposure Banding

In order to properly use the information contained in the tables below, active pharmaceutical ingredients (API) must be evaluated and placed into an Occupational Exposure Band (OEB).

Occupational exposure banding, also known as hazard banding, or health hazard banding, is a process intended to quickly and accurately assign chemicals into specific categories (bands), which correspond to a range of exposure concentrations designed to protect worker health. These bands are assigned based on a chemical's toxicological potency and the adverse health effects associated with exposure to the chemical. The output of this process is an occupational exposure band. [McKernan L, Seaton M, Gilbert S [2016]. The NIOSH Decision Logic for OEBs: Applying Occupational Exposure Bands. The Synergist (March 2016)].

Each band describes a distinct range of Occupational Exposure Limits (OELs), grouped so that a single recommendation for exposure control technology and personal protective equipment (PPE) can adequately protect employees engaged in similar tasks or process.

Information and tables included in this document are not intended to cover all tasks or situations, and may not be applicable for some or all of a company's specific operations. A detailed analysis should be conducted to determine applicability.

## How to Use This Best Practice Guide

Use of this guide and suggestions herein are not a substitute for a complete and robust risk assessment and exposure assess-ment program. Good industrial hygiene and occupational exposure banding practices should be implemented and followed.

Suggested Containment Control Strategy

- 1) Identify the operation/activity to be performed in the far-left column of the chart.
- 2) Identify the OEB of the API handled or processed during the operation/activity in the top row of the chart.
- 3) Find the intersection point of the identified OEB and activity. This is the suggested containment control strategy for the activity performed.

|                                     |               | Occupational Exp  | osure Band (OEB) | re-   |
|-------------------------------------|---------------|-------------------|------------------|---|
|                                     | OEB 1 & 2     | QEB 3             | QEB 4            | OEB 5   |
|                                     |               |                   | (> 1 - 10 μg/m³) |   |
| Activity                            | (≥ 100 µg/m³) | (>10 - 100 µg/m³) |                  | <u>(</u> ≤ 1 μg/m³)   |
| Dispensing / Weighing - Wet Powders | NA            | LEV               | LEV or Down flow | Down flow booth with<br>barriers or curtains or<br>isolator with<br>contained transfer<br>ports |

Figure 1: EXAMPLE - Dispensing/weighing wet powder in OEB 4



### Suggested Personal Protective Equipment

- 1) Identify the operation/activity to be performed in the far-left column of the chart.
- 2) Identify the OEB of the API handled or processed during the operation/activity in the top row of the chart.
- 3) Find the intersection point of the identified OEB and activity. This is the suggested personal protective equipment for the activity performed, without regard to engineering controls/containment.

|          | Occupational Exposure Band (OEB)  |   |   |   |   |  |  |
|----------|---|---|---|---|---|--|--|
|          | OEB 1   | OEB 2   | QEB 3   | QEB 4   | OEB 5   |  |  |
| Activity | (≥ 1000 µg/m³)  | (>100 - 1000 µg/m³)   | (>10 - 100 µg/m³)   | (>1 - 10 µg/m³)   | <u>(≤</u> 1 μg/m³)  |  |  |
| Weighing | ½ mask respirator,<br>gloves, long sleeved<br>GMP clothing and<br>safety equipment for<br>the area. | ½ mask respirator,<br>gloves, long sleeved<br>GMP clothing and<br>safety equipment for<br>the area. | PAPR w/ full hood<br>with max APF and<br>HEPA filter or<br>supplied air, gloves | PAPR w/ full hood<br>with max APF and<br>HEPA filter or<br>supplied air, double<br>gloves (Taped cuffs),<br>disposable or<br>launderable coveralls<br>(Category III), and<br>booties. | PAPR w/ full hood<br>with max APF and<br>HEPA filter or<br>supplied air, double<br>gloves (Taped cuffs),<br>disposable or<br>launderable coveralls<br>(Category III), and<br>booties. |  |  |

Figure 2: EXAMPLE – Weighing powder in OEB 3



# Suggested Containment Control Strategy for Lab & Areas Outside Manufacturing & Pilot Plant

This chart offers suggestions only and should not be used without verifying that the technology works for your unique situation.

Table A.

|  | Occupational Exposure Band (OEB)  |                            |   |  |  |
|--|---|----------------------------|---|--|--|
| Activity   | OEB 1 & 2   | OEB 3                      | OEB 4   | OEB 5  |  |
|  | (100 μg/m³)   | (>10 - 100 μg/m³)          | (> 1 - 10 μg/m³)  | (< 1 µg/m³)  |  |
|  |   |                            |   |  |  |
| Sample transfer  | Tightly sealed contained  | r or zip-lock plastic bags | Double sealed contai  | ner (e.g. bottle in bag)   |  |
| Dry Powders weighing and handling (Drying etc.)  | Open Handing <1g<br>API permitted (VBSE<br>recommended) >1g<br>VBSE required            | VBSE                       | VBSE or Isolator (if quantity >10g) with appropriate contained transfer device.                               | VBSE or Isolator (if quantity >1g) with appropriate contained transfer device. |  |
| Wet Powders handling<br>(weighing, filtration and<br>cake handling)  | Containment Hood  |                            | Containment Hood,<br>For quantities >100 g,<br>use VBSE or Isolator   | Containment Hood. For<br>quantities >10 g, use<br>VBSE or Isolator             |  |
| Solution/Suspension handlingOpen Bench permitted with spill trays. Containment Hood if solvents are used or aerosolization may occur | Open Bench permitted. Containment Hood if solvents are used or aerosolization may occur |                            | Open Bench permitted with spill trays<br>Containment Hood if solvents are used or<br>aerosolization may occur |  |  |
| Tablets (coated /<br>uncoated) and capsules<br>manipulation<br>VBSE or Containment<br>Hood   | VBSE or Containment Hood  |                            | VBSE or Conf  | tainment Hood  |  |
| Returned Sample Handling<br>of broken or leaking<br>presentations and<br>uncoated tablets<br>VBSE Recommended                        | VBSE Recommended  |                            | VBSE Rec  | ommended   |  |
| Returned Sample Handling<br>of intact:Coated tablets,<br>capsules, vials, patches,<br>bottles<br>Open Handling                       | Open I  | Handling                   | Open I  | Handling   |  |



# Suggested PPE for Lab & Areas Outside Manufacturing & Pilot Plant. For operations with insufficient and/or unverified engineering controls

For operations with insufficient and/or unverified engineering controls.

This chart offers suggestions only and should not be used without verifying that the Personal Protective Equipment (PPE) is appropriate for your unique situation.

### Table B.

|   | Occupational Exposure Band (OEB)    |   |  |  |
|---|-------------------------------------|---|--|--|
| Activity  | OEB 1 & 2                           | OEB 3, 4 & 5  |  |  |
|   | (100 μg/m <sup>3</sup> )            | (< 100 μg/m <sup>3</sup> )  |  |  |
|   |                                     |   |  |  |
| Powder Manipulation   | Min required lab PPE, gloves        | Minimum lab PPE, gloves and appropriately fitted ½ facepiece particulate respirator |  |  |
| Solutions & Suspensions (No aerosols)   | Minimum required Lab<br>PPE, gloves | Minimum required lab PPE, gloves, lab coat, safety glasses and spill trays          |  |  |
| Potentially<br>Contaminated Batch<br>Record Handling                                      | NA                                  | Minimum lab PPE, gloves, lab coat, safety glasses                                   |  |  |
| Returned Sample<br>Handling of broken or<br>leaking presentations<br>and uncoated tablets | Min required lab PPE, gloves        | Minimum lab PPE, gloves, lab coat, safety glasses                                   |  |  |



# Suggested Personal Protection Equipment for Production/Pilot Plant (without regards to engineering controls/containment strategy)

This chart offers suggestions only and should not be used without verifying that the technology works for your unique situation.

Table C.

|   |   | Occupatio   | nal Exposure Band (0   | DEB)  |   |
|---|---|---|--|---|---|
| Activity  | OEB 1   | OEB 2   | OEB 3  | OEB 4   | OEB 5   |
|   | (1000 µg/m <sup>3</sup> )   | (>100 - 1000 μg/m <sup>3</sup> )  | (>10 - 100 μg/m <sup>3</sup> )   | (> 1 - 10 μg/m <sup>3</sup> )   | (< 1 µg/m <sup>3</sup> )  |
|   |   |   |  |   |   |
| Weighing  | ½ mask respirator,<br>gloves, long sleeved<br>GMP clothing and<br>safety equipment for<br>the area. | ½ mask respirator,<br>gloves, long sleeved<br>GMP clothing and<br>safety equipment<br>for the area. | PAPR w/ full hood<br>with max APF and<br>HEPA filter or<br>supplied air, gloves  | PAPR w/ full hood<br>with max APF and<br>HEPA filter or<br>supplied air, double<br>gloves (Taped cuffs),<br>disposable or<br>launderable<br>coveralls (Category<br>III), and booties. | PAPR w/ full hood<br>with max APF and<br>HEPA filter or<br>supplied air, double<br>gloves (Taped cuffs),<br>disposable or<br>launderable<br>coveralls (Category<br>III), and booties. |
| QA Sampling   | ½ mask respirator,<br>gloves, long sleeved<br>GMP clothing and<br>safety equipment for<br>the area. | ½ mask respirator,<br>gloves, long sleeved<br>GMP clothing and<br>safety equipment<br>for the area. | PAPR w/ full hood<br>with max APF and<br>HEPA filter or<br>supplied air, gloves  | PAPR w/ full hood<br>with max APF and<br>HEPA filter or<br>supplied air, double<br>gloves (Taped cuffs),<br>disposable or<br>launderable<br>coveralls (Category<br>III), and booties. | PAPR w/ full hood<br>with max APF and<br>HEPA filter or<br>supplied air, double<br>gloves (Taped cuffs),<br>disposable or<br>launderable<br>coveralls (Category<br>III), and booties. |
| Granulation/ Compounding & Other Powder Manipulation Processes (assuming EC not at prescribed levels) | ½ mask respirator,<br>gloves, long sleeved<br>GMP clothing and<br>safety equipment for<br>the area. | ½ mask respirator,<br>gloves, long sleeved<br>GMP clothing and<br>safety equipment<br>for the area  | PAPR w/ full hood<br>with max APF and<br>HEPA filter or<br>supplied air, gloves. | PAPR w/ full hood<br>with max APF and<br>HEPA filter or<br>supplied air, double<br>gloves (Taped cuffs),<br>disposable or<br>launderable<br>coveralls (Category<br>III), and booties. | PAPR w/ full hood<br>with max APF and<br>HEPA filter or<br>supplied air, double<br>gloves (Taped cuffs),<br>disposable or<br>launderable<br>coveralls (Category<br>III), and booties. |

GMP = Good Manufacturing Process

APF = Assigned Protection Factor

PAPR = Powered Air Purifying Respirator

HEPA = High Efficiency Particulate Air

Table C. Continued...

|   | Occupational Exposure Band (OEB)   |  |  |   |   |  |
|---|--|--|--|---|---|--|
| Activity  | OEB 1  | OEB 2  | OEB 3  | OEB 4   | OEB 5   |  |
|   | ( 1000 μg/m <sup>3</sup> )   | (>100 - 1000 μg/m <sup>3</sup> )   | (>10 - 100 μg/m <sup>3</sup> )   | (> 1 - 10 μg/m <sup>3</sup> )   | (< 1 μg/m <sup>3</sup> )  |  |
| Compression/<br>Encapsulation                                     | Gloves, long sleeved GMP clothing and safety equipment for the area.  Unless hand scooping is used then ½ mask respirator, gloves, | ½ mask respirator,<br>gloves, GMP and<br>safety equipment<br>for the area.   | PAPR w/ full hood<br>with max APF and<br>HEPA filter or<br>supplied air, gloves.   | PAPR w/ full hood<br>with max APF and<br>HEPA filter or<br>supplied air, double<br>gloves (Taped cuffs),<br>disposable or<br>launderable<br>coveralls (Category<br>III), and booties. | PAPR w/ full hood with max APF and HEPA filter or supplied air, double gloves (Taped cuffs), disposable or launderable coveralls (Category III), and booties. |  |
|   | long sleeved GMP clothing and safety equipment for the area.   |  |  | ,, a 200400.  | ,, 66 555 155.  |  |
| Coating   | Gloves, long sleeved<br>GMP clothing and<br>safety equipment<br>for the area.  | Gloves, long sleeved<br>GMP clothing and<br>safety equipment for<br>the area.                                      | Working in the area before or after the pan has been charged - ½ mask respirator, gloves, long sleeved GMP clothing and safety equipment for the area. | Working in the area before or after the pan has been charged - ½ mask respirator, gloves, long sleeved GMP clothing and safety equipment for the area.                                | PAPR w/ full hood<br>with max APF and<br>HEPA filter or<br>supplied air, double   |  |
|   |  | Charging the coating pan - ½ mask respirator, gloves, long sleeved GMP clothing and safety equipment for the area. | Charging the coater - PAPR w/ full hood with max APF and HEPA filter or supplied air, gloves.  | Charging the coater - PAPR w/ full hood with max APF and HEPA filter or supplied air, double gloves (Taped cuffs), disposable or launderable coveralls (Category III), and booties.   | gloves (Taped cuffs),<br>disposable or<br>launderable coveralls<br>(Category III), and<br>booties.  |  |
| Solutions/<br>Suspensions<br>(no<br>aerosolization<br>or powders. | Gloves, long sleeved<br>GMP clothing and<br>safety equipment<br>for the area.  | Gloves, long sleeved<br>GMP clothing and<br>safety equipment<br>for the area.                                      | Gloves, long sleeved<br>GMP clothing and<br>safety equipment for<br>the area.  | Gloves, long sleeved<br>GMP clothing and<br>safety equipment for<br>the area.   | Gloves, long sleeved<br>GMP clothing and<br>safety equipment for<br>the area.   |  |

Table C. Continued...

|   |   | Occupatio   | nal Exposure Band (C  | DEB)  |   |
|---|---|---|---|---|---|
| Activity  | OEB 1   | OEB 2   | OEB 3   | OEB 4   | OEB 5   |
|   | ( 1000 μg/m <sup>3</sup> )  | (>100 - 1000 μg/m <sup>3</sup> )  | (>10 - 100 µg/m <sup>3</sup> )  | (>1 - 10 μg/m <sup>3</sup> )  | <sup>(</sup> < 1 μg/m <sup>3</sup> )  |
|   |   |   |   |   |   |
| Packaging<br>(uncoated<br>tablets, hot<br>side work)                          | Gloves, long sleeved<br>GMP clothing and<br>safety equipment<br>for the area.   | ½ mask respirator,<br>gloves, long sleeved<br>GMP clothing and<br>safety equipment for<br>the area.   | ½ mask respirator,<br>gloves, long sleeved<br>GMP clothing and<br>safety equipment<br>for the area.   | ½ mask respirator,<br>gloves, long sleeved<br>GMP clothing and<br>safety equipment<br>for the area.   | PAPR w/ full hood<br>with max APF and<br>HEPA filter or<br>supplied air, double<br>gloves (Taped cuffs),  |
|   |   |   |   | During cleaning and   | disposable or<br>launderable<br>coveralls (Category<br>III), and booties.   |
| Packaging<br>coated<br>tablets,<br>capsules,<br>liquids, or cold<br>side work | Gloves, long sleeved<br>GMP clothing and<br>safety equipment<br>for the area.   | Gloves, long sleeved<br>GMP clothing and<br>safety equipment for<br>the area.   | Gloves, long sleeved<br>GMP clothing and<br>safety equipment<br>for the area.   | Gloves, long<br>sleeved GMP<br>clothing and safety<br>equipment for the<br>area.  | Gloves, long sleeved<br>GMP clothing and<br>safety equipment for<br>the area.   |
| Dust Collector<br>Change Out<br>(HEPA or<br>waste)                            | BIBO HEPA's should<br>be used.  | BIBO HEPA's<br>should be used.  | BIBO HEPA's<br>should be used for<br>HEPA cartridge<br>change out.  | BIBO HEPA's should<br>be used for HEPA<br>cartridge change<br>out.  | BIBO HEPA's<br>should be used for<br>HEPA cartridge<br>change out.  |
|   | PAPR w/ full hood<br>with max APF and<br>HEPA filter or<br>supplied air, double<br>gloves (Taped<br>cuffs), disposable or<br>launderable<br>coveralls (Category<br>III), and booties. | PAPR w/ full hood<br>with max APF and<br>HEPA filter or<br>supplied air, double<br>gloves (Taped cuffs),<br>disposable or<br>launderable<br>coveralls (Category<br>III), and booties. | PAPR w/ full hood<br>with max APF and<br>HEPA filter or<br>supplied air, double<br>gloves (Taped<br>cuffs), disposable or<br>launderable<br>coveralls (Category<br>III), and booties. | PAPR w/ full hood<br>with max APF and<br>HEPA filter or<br>supplied air, double<br>gloves (Taped cuffs),<br>disposable or<br>launderable<br>coveralls (Category<br>III), and booties. | PAPR w/ full hood<br>with max APF and<br>HEPA filter or<br>supplied air, double<br>gloves (Taped cuffs),<br>disposable or<br>launderable<br>coveralls (Category<br>III), and booties. |

BIBO = Bag In Bag Out

Table C. Continued...

|                                      | Occupational Exposure Band (OEB)  |   |   |  |   |  |
|--------------------------------------|---|---|---|--|---|--|
| Activity                             | OEB 1   | OEB 2   | OEB 3   | OEB 4  | OEB 5   |  |
|                                      | ( 1000 μg/m <sup>3</sup> )  | (>100 - 1000 μg/m <sup>3</sup> )  | (>10 - 100 µg/m <sup>3</sup> )  | (>1 - 10 μg/m <sup>3</sup> )   | (< 1 μg/m <sup>3</sup> )  |  |
| Personnel<br>Decontamin-             | Decontamination procedure required  | Decontamination procedure required  | Decontamination procedure required  | Decontamination procedure required   | Decontamination procedure required  |  |
| ation                                |   |   | PPE<br>decontamination is<br>required upon<br>leaving the area.   | PPE<br>decontamination is<br>required upon<br>leaving the area.  | PPE decontamination is required prior to leaving the area.  |  |
|                                      |   |   | Ensure contamination doesn't occur or isn't spread outside the area (personnel and equipment, materials etc.) | Ensure contamination doesn't occur or isn't spread outside the area (personnel and equipment, materials etc.)  | Ensure contamination doesn't occur or isn't spread outside the area (personnel and equipment, materials etc.)   |  |
| Emergency<br>Containment<br>Breaches | ½ mask respirator,<br>gloves, long sleeved<br>GMP clothing and<br>safety equipment<br>for the area. | ½ mask respirator,<br>gloves, long sleeved<br>GMP clothing and<br>safety equipment<br>for the area. | PAPR w/ full hooded<br>head top with max<br>APF and HEPA filter<br>or supplied air,<br>gloves                 | PAPR w/ full hooded<br>head toped head top<br>with max APF and<br>HEPA filter or<br>supplied air, double<br>gloves (Taped cuffs),<br>disposable or<br>launderable<br>coveralls (Category<br>III equivalent), and<br>booties. | PAPR w/ full hooded head top with max APF and HEPA filter or supplied air, double gloves (Taped cuffs), disposable or launderable coveralls (Category III equivalent), and booties. |  |



# **Suggested Containment Control Strategy for Production or Pilot Plant**

This chart offers suggestions only and should not be used without verifying that the technology works for your unique situation.

Table D.

|   | Occupational Exposure Band (OEB)                       |  |  |  |  |
|---|--|--|--|--|--|
| Activity  | OEB 1 & 2  | OEB 3  | OEB 4  | OEB 5  |  |
|   | (≥100 µg/m <sup>3</sup> )                              | (>10 - 100 μg/m <sup>3</sup> )                       | (>1 - 10 μg/m <sup>3</sup> )   | (≤1 µg/m³)   |  |
| Dispensing/ Weighing<br>-Wet Powders  | NA   | LEV  | LEV or Down flow<br>booth  | Down flow booth with<br>barriers or curtains or<br>isolator with contained<br>transfer ports |  |
| Dispensing/ Weighing -Dry Powders   | LEV  | LEV or Down flow<br>booth or ventilated<br>enclosure | Down flow booth with<br>barriers or curtains or<br>isolator with contained<br>transfer ports   | Isolator with contained transfer ports   |  |
| Dispensing dry powders into liquids Appropriate contained transfer technology, (e.g. SBV, PTS, DCS, etc.), direct connection between processing units or isolator Solids/liquids disperser/ homogenizer - recommended | Solids/liquids disperser/ homogenizer -<br>recommended |  | Appropriate contained transfer technology, (e.g. SBV, PTS, DCS, etc), direct connection between processing units or Isolator  Solids/liquids disperser/homogenizer - recommended |  |  |
| Powder Sampling (when dedicated sampling port is not available)   | LEV  | LEV or Down flow<br>booth                            | Down flow booth  | PAT, isolator or through appropriate contained transfer device                               |  |
| TD Centrifuge Discharge Isolator/ glove bag or change technology  | NA   |  | Isolator/glove bag or  | change technology  |  |
| BD Centrifuge<br>Discharge  | NA   | Suitable enclosure (e.g.<br>inflatable seal)         | Suitable continuous liner  | Appropriate contained transfer device or isolator with contained transfer ports              |  |

Table D. Continued...

|  | Occupational Exposure Band (OEB) |  |   |  |  |  |
|--|----------------------------------|--|---|--|--|--|
| Activity   | OEB1&2                           | OEB 3  | OEB 4   | OEB 5  |  |  |
|  | (≥100 µg/m <sup>3</sup> )        | (>10 - 100 μg/m <sup>3</sup> )                                 | (>1 - 10 μg/m <sup>3</sup> )  | (≤1 µg/m³)   |  |  |
| Hor. Centrifuge<br>Discharge   | NA                               | Suitable enclosure (e.g. inflatable seal)                      | Suitable continuous liner   | Appropriate contained<br>transfer device or<br>isolator with contained<br>transfer ports           |  |  |
| Inv. Basket Centrifuge<br>Discharge  | NA                               | Suitable enclosure (e.g.<br>inflatable seal)                   | Suitable continuous liner   | Appropriate contained<br>transfer device or<br>isolator with contained<br>transfer ports           |  |  |
| Other Liquid Filtration<br>systems when solids are<br>waste  | NA                               | Thoroughly wash out<br>the unit before opening<br>for disposal | manner, e.g. while using '  | er wetting. Use disposable<br>ble. Dispose in contained<br>'Bag in Bag" or "Cartridge<br>echnology |  |  |
| Filter Dryer Discharge   | LEV or Suitable<br>enclosure     | Suitable enclosure (e.g. inflatable seal)                      | Suitable continuous liner or other appropriate contained transfer technology                                |  |  |  |
| Fluidized Bed Dryer or<br>Spray Dryer Charging   | LEV                              | LEV and direct<br>connection or remote<br>charging             | Appropriate contained transfer using vacuum, gravity or both. (SBV or similar if disconnections a required) |  |  |  |
| Fluidized Bed Dryer or<br>Spray Dryer Discharging  | LEV                              | LEV and direct connection                                      | Contained transfer technology (Vacuum system t<br>side discharge or bottom gravity discharge with<br>SBV)   |  |  |  |
| Fluidized Bed Dryer or<br>Spray Dryer<br>CleaningWIP or Glove<br>Bag/Box Isolator                            | HEPA vacuum a                    | and wet methods  | WIP or Glove E  | Bag/Box Isolator   |  |  |
| Tray Dryer Charge NA if<br>material is wet enough If<br>not see discharge below                              | LEV reco                         | mmended  | NA if material is wet enough<br>If not see discharge below  |  |  |  |
| Tray Dryer Discharge Down flow booth with barriers or curtains, isolator or change technology (one Pot etc.) | LEV or down flow booth           |  | Down flow booth wit<br>isolator or change ted   | h barriers or curtains,<br>chnology (one Pot etc.)   |  |  |
| Lyophilizing/ Freeze Drying Discharge Down flow booth with barriers or curtains or isolator                  | LEV                              |  | Down flow booth with<br>Isol  |  |  |  |
| Lyophilizing/ Freeze<br>Drying Cleaning WIP,<br>Vial wash down or<br>Glove Bag/Box Isolator                  | HEPA vacuum a                    | and wet methods  | WIP, Vial wash down o   | r Glove Bag/Box Isolator   |  |  |

Table D. Continued...

|  | Occupational Exposure Band (OEB) |   |  |   |  |
|--|----------------------------------|---|--|---|--|
| Activity   | OEB1&2                           | OEB 3   | OEB 4  | OEB 5                                   |  |
|  | (≥100 µg/m <sup>3</sup> )        | (>10 - 100 µg/m <sup>3</sup> )                      | (>1 - 10 μg/m <sup>3</sup> )   | (≤1 µg/m <sup>3</sup> )                 |  |
| Vacuum Dryers Charge/DischargeDirec t connection with appropriate contained transfer device                              | Direct co                        | Direct connection                                   |  | appropriate contained<br>device         |  |
| Vacuum Dryers CleaningWIP or CIP through appropriate contained transfer device or built in                               | Direct co                        | Direct connection                                   |  | priate contained transfer<br>r built in |  |
| Tumble Blending (V, Bin, Cone), Charging/<br>Discharging   | LEV                              | LEV and direct connection                           | Appropriate contained transfer device                                  |   |  |
| Tumble Blending (V, Bin, Cone), Cleaning WIP or CIP through appropriate contained transfer device or built in            | HEPA vacuum and wet methods      |   | WIP or CIP through appropriate contained transf<br>device or built in  |   |  |
| High Sheer Mixing/<br>Granulating<br>Charge/Discharge  | LEV                              | LEV and direct connection                           | Appropriate contained transfer device                                  |   |  |
| High Sheer Mixing/<br>Granulating Heel<br>Removal  | LEV                              | LEV and direct connection                           | Isolator/glove bag for pro   |   |  |
| High Sheer Mixing/<br>Granulating Cleaning<br>WIP or CIP through<br>appropriate contained<br>transfer device or built in | HEPA vacuum and wet methods      |   | WIP or CIP through appropriate contained transfe<br>device or built in |   |  |
| Tablet Coating Charge<br>Appropriate contained<br>transfer device  | LEV (may be built in)            |   | Appropriate Contain  | ed transfer device                      |  |
| Tablet Coating<br>Cleaning WIP (may<br>be built in)  | HEPA vacuum and wet methods      |   | WIP (may   | be built in)                            |  |
| Roller Compactor<br>Charge/Discharge   | LEV or down flow booth           | LEV and direct<br>connections or down<br>flow booth | Appropriate contained tra  |   |  |

Table D. Continued...

|   | Occupational Exposure Band (OEB) |   |   |   |  |
|---|----------------------------------|---|---|---|--|
| Activity  | OEB 1 & 2                        | OEB 3   | OEB 4   | OEB 5   |  |
|   | (≥100 µg/m <sup>3</sup> )        | (>10 - 100 μg/m <sup>3</sup> )                      | (>1 - 10 μg/m <sup>3</sup> )                    | (≤1 µg/m <sup>3</sup> )                                 |  |
| Roller Compactor<br>CleaningWIP/CIP or<br>Isolator  | HEPA vacuum and wet methods      |   | WIP/CIP o                                       | or Isolator   |  |
| Milling<br>CleaningWIP/CIP or<br>Isolator   | HEPA vacuum a                    | nd wet methods                                      | WIP/CIP o                                       | or Isolator   |  |
| Milling Charge,<br>Discharge & Operation  | LEV or down flow booth           | LEV and direct<br>connections or down<br>flow booth | Appropriate contained tr                        |   |  |
| Sieving/Screening<br>Charge/Discharge   | LEV or down flow booth           | LEV and direct<br>connections or down<br>flow booth | Appropriate contained transfer device, leak pro |   |  |
| Seiving/Screening<br>CleaningWIP/CIP or<br>isolator   | HEPA vacuum a                    | nd wet methods                                      | WIP/CIP or isolator                             |   |  |
| Packaging Hopper Filling & Operation (uncoated tablets or powder filling) Appropriate contained transfer device for the hopper (if possible), and contained ventilated filler | LEV at hopper and filler         |   |   | nsfer device for the hopper<br>tained ventilated filler |  |
| Packaging Hopper/Filler<br>Cleaning (uncoated<br>tablets or powder<br>filling) WIP, Mist in<br>Place or Isolator  | HEPA                             | /acuum  | WIP, Mist in Pla                                | ace or Isolator   |  |
| Compression &<br>Encapsulation Charging   | LEV                              | LEV & drum lift & direct connection                 | Appropriate contail                             | ned transfer device                                     |  |
| Compression & Encapsulation In Process Checks Automated or contained (VBSE, etc.)   | NA                               |   | Automated or conf                               | tained (VBSE, etc.).                                    |  |
| Compression/<br>Encapsulation Product<br>Recovery   | LEV                              | LEV and direct connection                           | Safe change Vacuum s<br>contained transfer de   |   |  |



Table D. Continued...

|  | Occupational Exposure Band (OEB)  |  |   |            |  |
|--|---|--|---|------------|--|
| Activity   | OEB 1 & 2   | OEB 3  | OEB 4   | OEB 5      |  |
|  | (≥100 µg/m <sup>3</sup> )   | (>10 - 100 μg/m <sup>3</sup> )                                 | (>1 - 10 μg/m <sup>3</sup> )  | (≤1 µg/m³) |  |
| Compression/<br>Encapsulation<br>Cleaning WIP or CIP<br>or Isolator  | HEPA vacuum   |  | WIP or CIP or Isolator  |            |  |
| Extruding & Spheronizing Charging/ Discharging Appropriate Contained transfer device   | LEV   |  | Appropriate Contained transfer device   |            |  |
| Extruding &<br>Spheronizing Cleaning<br>WIP or CIP or Isolator   | HEPA vacuum and wet methods   |  | WIP or CIP or Isolator  |            |  |
| Vacuum Cleaner Bag<br>Change Out BIBO Filter<br>change and appropriate<br>contained transfer<br>device   | LEV   |  | BIBO Filter change and appropriate contained transfer device  |            |  |
| Container TyperSuitable<br>Contained - required  | Suitable Container - recommended  |  | Suitable Container - required   |            |  |
| Transdermal Film Coating Additional specific local ventilation or containment required to control volatile APIs or solvents  | Additional specific local ventilation or containment recommended to control volatile APIs or solvents |  | Additional specific local ventilation or containment<br>Required to control volatile APIs or solvents                                     |            |  |
| Personnel Decontamination Methods Required - Misting Shower for decontamination of Personnel PPE, etc., policy and training Unless, In Controlled containment: Recommended | NA  |  | Required - Misting Shower for decontamination of Personnel PPE, etc., policy and training Unless, In Controlled containment: Recommended. |            |  |
| Equipment/ Material Transfer Contaminated items must be cleaned or contained prior to transfer. Cleaning with Compressed Air is not allowed                                |   | t be cleaned or contained<br>g with Compressed Air is<br>owed. | Contaminated items must be cleaned or contained prior to transfer. Cleaning with Compressed Air is not allowed.                           |            |  |



### Table D. Continued...

|   | Occupational Exposure Band (OEB) |                                |  |            |  |
|---|----------------------------------|--------------------------------|--|------------|--|
| Activity  | OEB 1 & 2                        | OEB 3                          | OEB 4  | OEB 5      |  |
|   | (≥100 µg/m <sup>3</sup> )        | (>10 - 100 μg/m <sup>3</sup> ) | (>1 - 10 μg/m <sup>3</sup> )   | (≤1 µg/m³) |  |
| Batch Record Handling<br>Electronic Batch<br>Records or Glove Box<br>or Recording done in an<br>uncontaminated area<br>Unless, In Controlled<br>containment: NA | NA                               |                                | Electronic Batch Records or<br>Glove Box or<br>Recording done in a uncontaminated area<br>Unless, In Controlled containment – NA |            |  |

APF – Assigned Protection Factor LEV – Local Exhaust Ventilation

API – Active Pharmaceutical Ingredient NA – Not Applicable

BIBO – Bag In Bag Out OEB – Occupational Exposure Band

BD – Bottom Discharge OEL – Occupational Exposure Limit

BSC – Bio-safety Cabinet PAT – Process Analytical Technology

CIP – Clean In Place PPE – Personal Protective Equipment

DCS – Distributed Control System PTS – Powder Transfer System

FA – Fresh Air SBV – Split Butterfly Valve

FBD – Fluidized Bed Dryer TD – Top Discharge

GMP – Good Manufacturing Process V – V-shaped style tumble blender

HEPA – High Efficiency Particulate Air IPI VBSE – Ventilated Balance Safety Enclosure

Isolated Process Intermediate Isolator – WIP - Wash In Place

Glove Box or Flexible Glove Bag

## Disclaimer

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