Agenda

▪ Background / Purpose

▪ Supplier Responsibility Code (SRC) Expectations
  ▪ Benefits

▪ Levels of Inquiry
  ▪ Declaration
  ▪ Self Assessment (SAQ)
  ▪ 3M On site or Remote
  ▪ 3rd Party On-site

▪ Next Steps
  ▪ Supplier to ensure understanding and alignment of 3M’s SRC expectations.
  ▪ Ensuring their suppliers understand and align as well.
  ▪ Complete Supplier Declaration, Self-Assessment or On-site Assessment as 3M requests.
“Great and enduring companies are driven by purpose, and built on a foundation of trust—trust from our customers, employees, partners, shareholders and communities. At 3M, we cannot break that trust—ever.

We’ve earned our reputation for integrity over many decades, and no one at 3M is free to compromise it.

Thank you for your commitment to doing business the right way.”

Mike F. Roman
Chief Executive Officer

3M’s suppliers are an extension of our business and must have the same high level of standards and expectations that support our values and the 3M Supplier Responsibility Code.

2025 Supplier Sustainability Goal:
“Drive Supplier Sustainability through Targeted Raw Material Traceability and Supplier Performance Assurance”
3M Supplier Responsibility Code (SRC)

Foundational expectations on:
✓ Labor/Human Rights
✓ Environment
✓ Safety & Health
✓ Ethics
✓ Management Systems

Aligned with:
✓ 3M Values, Principles & Policies
  ✓ e.g. 3M Human Rights Policy
✓ Industry Guidelines
  ✓ RBA
✓ Regulatory Requirements
  ✓ e.g. UK Modern Slavery Act
3M SRC Levels of Inquiry

Declaration
A SRC declaration applies to all 3M suppliers, declaring their programs and practices as well as their subcontractors are consistent with those in the 3M SRC.

SAQ
A Supplier Self-Assessment Questionnaire is used to understand a supplier’s response to the SRC questions and determine if there areas where 3M would like the supplier to provide additional information.

3M On-site
An on-site Assessment helps 3M better understand a Supplier’s conformance to 3M’s requirements for:
- Environmental
- Health & Safety
- Labor
- Ethics
- Management Systems

3rd Party On-site
This onsite audit is led by an accredited 3rd party auditor through a 3M approved 3rd party audit scheme. RBA’s VAP or equivalent (i.e. BSCI, SMETA Sedex 4-pillar) in the last 24 months.
3M Supplier Responsibility Code – Supplier Declaration:

Deated: January 1, 2022

To Our Valued Supply Partner:

3M is committed to improving our business, our planet and every life. Our employees demonstrate that commitment every day in our own actions and in the communities in which we live and work. We recognize the choices we make for our material and service providers must also reflect these values. 3M expects its suppliers to share in the commitment to maintaining compliant and responsible and sustainable operations and practices. The 3M Supplier Responsibility Code replaced the 3M Supply Chain Policies in 2013 to help 3M supply chain partners clearly understand 3M’s supplier expectations and ensure due diligence practices are in place to best demonstrate commitment to these values.

Online courses are available at 3M Business Partner Compliance Awareness with information about laws and principles for doing business the right way.

All suppliers are requested to complete the following declaration:

The undersigned hereby declares, on behalf of ___________________________ (company name), that our programs and practices as well as our sub-contractors involved in the supply of products and/or services conform to standards that are consistent with the 3M Supplier Responsibility Code www.3M.com/suppliercode including but not limited to:

• Labor Management Policies, including prohibition of forced labor and human trafficking; and no workers under age 18
• Occupational Safety & Health Programs
• Environmental Management Programs
• Ethics and Business Integrity Policies, including confirmation that no actual or perceived conflict of interest will exist with a 3M supplier relationship
• Management Systems that support due diligence practices

If necessary, please attain information on programs or practices within your facility that may not align with the 3M Supplier Responsibility Code requirements, including plans and associated timing to address the gaps.

__________________________
(Name) Serves as Signataire & Supplier Declaration
(representative authorized to bind the supplier.)

__________________________
(Date)

__________________________
(T.T.W.)

Please sign and submit the signed certificate within two weeks of receipt. Thank you for your continued partnership!

Available in 15 languages.
SAQ File Includes:

- SAQ template
- Supplier Instructions
- Supplier Info
- Assessment Questionnaire (SAQ)
- Supplier to indicate and share supporting example
- Definitions

3M Supplier Responsibility Code Questionnaire

<table>
<thead>
<tr>
<th>Field to Complete</th>
<th>Supplier Rating</th>
<th>Supplier Examples</th>
</tr>
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<tbody>
<tr>
<td>Name</td>
<td>Supplier Rating</td>
<td>Supplier Examples</td>
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<tr>
<td>Address</td>
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<tr>
<td>1. Name of Supplier</td>
<td>Supplier Rating</td>
<td>Supplier Examples</td>
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<td>2. Supplier Address</td>
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<td>Supplier Examples</td>
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<td>3. Supplier Phone Number</td>
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<td>Supplier Examples</td>
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<td>5. Site Name</td>
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<td>6. Site Address</td>
<td>Supplier Rating</td>
<td>Supplier Examples</td>
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<td>7. Total number of employees at the site</td>
<td>Supplier Rating</td>
<td>Supplier Examples</td>
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<td>8. Year of establishment</td>
<td>Supplier Rating</td>
<td>Supplier Examples</td>
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Questions

- Are there any on-site contractors? If yes, list them in the comments section.
- If there are no on-site contractors, mark it in the comments section.

Supplier Rating

- Strong Policy & Practice
- Moderate Policy & Practice
- Weak Policy & Practice

Comments: Particular Strengths and Opportunities Description of Systems, Examples

- Rate the supplier's performance on a scale of 1 to 5.
- Provide comments on any deviations from the code.
- Include any relevant documentation or examples.

Suppliers:

- 3M SRC
- SAQ & On-site Assessment

3M SRC
- 3M Supplier Responsibility Code Questionnaire
- SAQ File Includes:
  - Supplier Instructions
  - Supplier Info
  - Assessment Questionnaire (SAQ)
  - Supplier to indicate and share supporting example
  - Definitions
Thank You!