



### 3M Supplier Environmental Compliance Inquiry

- To:** 3M Suppliers in China
- Date:** October 2017
- Purpose:** To alert 3M Suppliers in China of the nationwide environmental inspections by China's Ministry of Environmental Protection to ensure manufacturing locations are supporting the Ecological Civilization Policy.
- Scope:** It is 3M's understanding, the inspections include but are not limited to emission controls and reduction plans, waste and water discharge, environmental permits etc.
- Consequences:** It is being reported that a significant number of Chinese factories are in non-compliance and as a result have received fines, suspensions, notices to close the factory and other penalties.
- Expectations:** 3M is committed to complying with all environmental requirements and regulations, always emphasizing high Environmental Health & Safety (EHS) standards and compliance. 3M expects its suppliers to share in the commitment to maintaining compliant, responsible and sustainable operations and practices as stated in 3M's [Supplier Responsibility Code](https://www.3m.com/3M/en_US/suppliers-direct/supplier-requirements/global-supplier-responsibility-code/) ([https://www.3m.com/3M/en\\_US/suppliers-direct/supplier-requirements/global-supplier-responsibility-code/](https://www.3m.com/3M/en_US/suppliers-direct/supplier-requirements/global-supplier-responsibility-code/)).
- References:** 3M's [Supplier Responsibility Code](https://www.3m.com/3M/en_US/suppliers-direct/supplier-requirements/global-supplier-responsibility-code/) communicates supplier expectations, including but not limited to:
- Labor Management Policies, including prohibition of forced labor and human trafficking; and no workers under age 16
  - Occupational Safety & Health Programs
  - Environmental Management Programs
    - Environmental permits and reporting
    - Hazardous substances
    - Solid waste and waste water

- Air emissions
  - Materials restrictions
  - Storm water management
  - Energy consumption and greenhouse gas emissions
  - Pollution prevention and resource reduction
  - Transportation
  - Legally harvested plant materials
  - Conflict Minerals
- Ethics and Business Integrity Policies, including confirmation that no actual or perceived conflict of interest will exist with a 3M supplier relationship
  - Management Systems that support due diligence practices

**Action:** If your company has been impacted by an inspection or there are any concerns with meeting the Ecological Civilization Policy, including having the necessary permits, that could affect continuity of supply for 3M products or services, it is imperative you provide information to 3M on the non-conformances, including plans and associated timing to close the gaps. Therefore, please share:

1. What deficiencies were found by the inspections or, if you haven't had an inspection yet, what deficiencies does your factory have in meeting current environment protection standards?
2. What is your plan to address any deficiencies and bring your factory into environmental compliance?
3. What is the timeline to complete your plan to address all deficiencies?

Please send the information to the Sourcing Material Compliance email address ([sourcingmaterialcompliance@mmm.com](mailto:sourcingmaterialcompliance@mmm.com)).

Thank you for your full-cooperation with this important compliance request!